



**Ground based lidar and microwave radiometry synergy for atmospheric profiling**

M. Barrera-Verdejo et al.

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# Ground based lidar and microwave radiometry synergy for high vertically resolved thermodynamic profiling

M. Barrera-Verdejo<sup>1</sup>, S. Crewell<sup>1</sup>, U. Löhnert<sup>1</sup>, E. Orlandi<sup>1</sup>, and P. Di Girolamo<sup>2</sup>

<sup>1</sup>University of Cologne Institute of Geophysics and Meteorology Pohligstr. 3, 50969 Köln, Germany

<sup>2</sup>Scuola di Ingegneria Università degli Studi della Basilicata Campus di Macchia Romana Viale dell'Ateneo Lucano, 10, 85100 Potenza, Italy

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Correspondence to: M. Barrera-Verdejo (mbarrera@smail.uni-koeln.de)

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## Abstract

Continuous monitoring of atmospheric humidity and temperature profiles is important for many applications, e.g. assessment of atmospheric stability and cloud formation. While lidar measurements can provide high vertical resolution albeit with limited coverage, microwave radiometers receive information throughout the troposphere though their vertical resolution is poor. In order to overcome these specific limitations the synergy of a Microwave Radiometer (MWR) and a Raman Lidar (RL) system is presented in this work. The retrieval algorithm that combines these two instruments is an Optimal Estimation Method (OEM) that allows for a uncertainty analysis of the retrieved profiles. The OEM combines measurements and a priori information taking the uncertainty of both into account. The measurement vector consists of a set of MWR brightness temperatures and RL water vapor profiles. The method is applied for a two month field campaign around Jülich, Germany for clear sky periods. Different experiments are performed to analyse the improvements achieved via the synergy compared to the individual retrievals. When applying the combined retrieval, on average the theoretically determined absolute humidity error can be reduced by 59.8 % (37.9 %) with respect to the retrieval using only-MWR (only-RL) data. The analysis in terms of degrees of freedom for signal reveals that most information is gained above the usable lidar range. The retrieved profiles are further evaluated using radiosounding and GPS water vapor measurements. Within a single case study we also explore the potential of the OEM for deriving the relative humidity profile, which is especially interesting to study cloud formation in the vicinity of cloud edges. To do so temperature information is added both from RL and MWR. For temperature, it is shown that the error is reduced by 47.1 % (24.6 %) with respect to the only-MWR (only-RL) profile. Due to the use of MWR brightness temperatures at multiple elevation angles, the MWR provides significant information below the lidar overlap region as shown by the degrees of freedom for signal. Therefore it might be sufficient to combine RL water vapor with multi-angle, multi-wavelength MWR for the retrieval of relative humidity, however, long-term studies

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are necessary in the future. In general, the benefit of the sensor combination is especially strong in regions where Raman Lidar data is not available (i.e. overlap region, poor signal to noise ratio), whereas if both instruments are available, RL dominates the retrieval.

## 1 Introduction

Humidity and temperature are essential variables for the description of any meteorological process. Highly resolved, accurate and continuous measurement of these parameters, in particular water vapor, are required for a deeper understanding of many atmospheric phenomena (Stevens and Bony, 2013). Unfortunately, instruments available nowadays are not able to capture humidity and temperature with sufficient spatial and temporal resolution to describe short time scale processes such as convection, cloud formation or boundary layer turbulence.

Nevertheless, in order to overcome the specific limitation of a specific instrument, the scientific community started merging different data from several instruments in the last years. Some examples of these are Stankov (1998) or Löhnert et al. (2001), where information from different sources is combined. In the present paper, the synergy between ground based Raman Lidar (RL) and Microwave Radiometer (MWR) is described. Both instruments present some advantages and disadvantages and, by bringing them together in an optimal and new retrieval algorithm, it is possible to overcome some of the disadvantages in the single devices and enhance their benefits.

The Raman lidar systems provide highly resolved measurements of atmospheric humidity profiles. For this reason, Raman lidars have become a strong tool for active ground based observations in the last years. However, the RL technique presents important weaknesses which prevent it from effective operational application. For example, ground based RL cannot provide information above and within optically thick clouds, as the radiation emitted by the lidar gets attenuated once the laser beam reaches the liquid layers within the cloud. Moreover, day time measurements are af-

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ected by background solar radiation, which strongly reduces the quality of the data. The continuous and effective detection of Raman signals, which are especially weak, requires robust and stable alignment of the receiving system. Daytime operation requires the use of powerful lasers whose continuous operation is technically demanding.

5 Additionally, RL needs to be calibrated. This calibration is usually performed based on the use of radiosounding data, which presents some caveats. First, the balloon might measure a different air volume due to its drift. Second, it implies a high both human and instrument cost. In addition, when measuring with the lidar, the information of the lowest layers in the atmosphere typically cannot be used, due to the presence of a blind region associated with the overlap function (OVF) of the RL.

10 The MWR allows continuous passive data acquisition and it is a robust operational instrument (Rose et al., 2005), measuring unattended in a 24/7 mode. In contrast to RL, the instrument offers a much more limited vertical resolution of the retrieved atmospheric profiles, especially in higher layers of the atmosphere (i.e. above an altitude of 15 1 km) (Löhnert et al., 2007), but performs best for measurements close to the ground, where there are no lidar data. MWR also provides accurate integrated quantities such as Integrated Water Vapor (IWV) or Liquid Water Path (LWP). The calibration of this instrument is easily performed with internal references with known temperature (hot load-cold load) or by observing the atmosphere under different elevation angles (i.e. 20 sky tipping) (Maschwitz et al., 2013). Another advantage of the MWR is the capability of measuring in almost all weather conditions (also cloudy cases) except for rainy scenarios, where the received signal must be discarded in most of the cases.

25 A method to combine RL and MWR was already proposed by Han et al. (1997), where the authors developed a two-stage algorithm to derive water vapor atmospheric profiles. In the first stage, a Kalman filtering algorithm was applied using surface in situ and RL measurements. In the second stage, a statistical inversion technique was applied to combine the Kalman retrieval with the integrated water vapor of a two-channel MWR and climatological data. Their method showed that the synergy of these two sensors compensate for the individual sensor's drawbacks. A continuation of this work

was carried out by Schneebeli (2009) where, still following the Kalman filter two-stage configuration, the products were extended to also temperature profiles.

The method described in this document is a new approach based on an Optimal Estimation Method (OEM), an iterative optimal and physically consistent method that allows uncertainty assessment and provides the most probable estimated atmospheric state together with its uncertainty description. The aim of this study is to combine the information provided by the two instruments in an OEM to retrieve atmospheric parameters. The method was applied to the data collected during HOPE (HD(CP)<sup>2</sup> Observational Prototype Experiment), focusing on clear sky cases. Results for absolute humidity (AH), temperature ( $T$ ) and relative humidity (RH) profiles are shown. A detailed description of the method is presented in Sect. 3. Section 4 describes the results when applying the method to retrieve absolute humidity profiles: both for a single case study and the complete two months period of HOPE. In addition, an example of temperature retrieval will be presented in Sect. 5. Moreover the algorithm is used to simultaneously retrieve absolute humidity and temperature profiles, which leads to the calculation of the relative humidity profile (see Sect. 6). Finally, Sect. 7 summarizes the results and provides an outlook.

## 2 Observations: HOPE

In this study we make use of the data collected during HOPE, which was a major field campaign in Nordrhein-Westfalen, Germany, from April to June 2013. The main goal of the campaign was to provide a complete picture of the clouds lifetime and evolution. During the measurement period, three supersites were operating, distributed in the surroundings of Forschungszentrum Jülich. Each supersite was composed of a rich variety of remote sensing instruments, coordinated with different scanning strategies that allow the 3-D study of clouds.

At the supersite of JOYCE (Jülich ObservatorY for Cloud Evolution) (Löhnert et al., 2014), measurements by the University of Basilicata Raman Lidar system (BASIL) and

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a MWR were carried out. Also, auxiliary data from other instruments is available and, in addition, a large set of radiosondes (RS). The RS set is composed of more than 200 sondes, launched only 4 km away from JOYCE, typically at least twice a day.

### 2.1 BASIL

The Raman Lidar system BASIL (Girolamo et al., 2009; Di Girolamo et al., 2012) is an active instrument based on the detection of the elastic and Raman backscattered radiation from atmospheric constituents. BASIL includes a Nd:YAG laser emitting pulses at its fundamental wavelength, its second and third harmonics: 1064, 532 and 355 nm, respectively. Raman scattering is stimulated by the 355 nm wavelength, a frequency of 20 Hz, with an average power emitted at this wavelength of 10 W. The receiver is built around a larger telescope in Newtonian configuration (45 cm diameter primary mirror) and two smaller telescopes (5 mm diameter lenses). The larger telescope is primarily dedicated to the collection of the Raman signals, i.e. the water vapor and molecular nitrogen roto-vibrational Raman signals, at 407.5 and 386.7 nm, respectively, which are used to estimate the water vapor mixing ratio profiles; and the molecular nitrogen and oxygen pure-rotational Raman signals, at 354.3 and 352.9 nm, used to estimate the atmospheric temperature profiles.

Signal selection is performed by means of narrowband interference filters, whose specifications were reported in Di Girolamo et al. (2004) and Girolamo et al. (2009). Sampling of the Raman signals is performed by means of transient recorders with double signal acquisition mode (i.e. both analog, A/D conversion and digital, photon counting). Depending on the application, water vapor mixing ratio and temperature profiles can be derived with different vertical and temporal resolutions. These two parameters can be traded-off to improve measurement precision. For the purposes of this study, the lidar products are characterized by a vertical resolution of 30 m and a temporal resolution of 5 min. Because of the geometry of the telescope-transmitter system, there is a blind region in the lower altitudes. Due to that, vertical profiles of water vapor mixing ratio typically start at 150–180 m; and temperature profiles at around 300 m. This lim-

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itation is caused by OVF problems and is due to a non sufficient overlap between the lidar emitter and receiver systems. Nevertheless, temperature profiles might present problems with overlapping function until  $\sim 1.5$  km. Temperature and humidity profiles extend vertically up to different altitudes during daytime and night-time depending on

5 when the signal gets completely extinguished. For water vapor this typically takes place around 4 km during daytime and around 10 km during the night, while for temperature it typically takes place around 6 km during daytime and up to 20 km during the night.

During HOPE, BASIL has been calibrated based on the comparison with the radiosondes launched approximately 4 km away from the instrument. A mean calibration coefficient was estimated comparing BASIL and radiosonde data. This data is compared in an altitude region with an extent of 1 km above the boundary layer to minimize the air mass differences related to the distance between the lidar and the radiosonde. The SD of the mean calibration coefficient from the single values does not exceed 5%. We have considered a vertical and temporal resolution of 150 m and 5 min respectively.

10 With this values, the statistical error affecting water vapor mixing ratio measurements for night-time operation is typically smaller than 2% up to 3 km and smaller than 20% up to 9 km. While for daytime operation is typically smaller than 40% up to 3 km and smaller than 100% up to 4.5 km. Additionally, the statistical error affecting temperature measurements for night-time operation is typically smaller than 0.4 K up to 3 km and smaller than 1 K up to 6.5 km. While for daytime operation is typically smaller than 0.5 K

20 up to 3 km and smaller than 1 K up to 4.5 km.

In addition to the statistical error, other small systematic error sources might affect the water vapor and temperature measurements. For example, for water vapor measurements, besides a bias associated with the estimate of the calibration coefficient, an additional bias ( $< 1\%$ ) might be considered. This percentage is associated with the use of narrowband filters, the temperature dependence of  $\text{H}_2\text{O}$  and  $\text{N}_2$  Raman scattering and the thermal drifts of the filters (Whiteman, 2003). Still an additional 1% might be associated with the determination of the differential transmission term at the water vapor and molecular nitrogen Raman wavelengths (Whiteman, 2003). This sources

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of error, in principle negligible, are not taken into account for the calculations in our algorithm.

The operation of BASIL has not been continuous during HOPE, the instrument has collected a total of 430 h of measurements distributed over 44 days, which represents the 30 % of the whole HOPE period.

## 2.2 MWR

The microwave radiometer profiler HATPRO (Rose et al., 2005) was manufactured by Radiometer Physics GmbH, Germany (RPG) as a network-suitable microwave radiometer with very accurate retrievals of Liquid Water Path (LWP) and Integrated Water Vapor (I WV) at high temporal resolution (1 s) (Löhnert and Maier, 2012). It is a passive MWR that measures radiation in the atmosphere in two frequency bands in the K and V bands (Rose et al., 2005). The seven channels of the K band contain information about the vertical profile of humidity through the pressure broadening of the optically thin 22.235 GHz H<sub>2</sub>O line and contain also information for determining liquid water path. The seven channels of V band contain information on the vertical profile of temperature resulting from the homogeneous mixing of O<sub>2</sub> throughout the atmosphere (Löhnert et al., 2009).

The absolute calibration of the instrument is performed taking a cold and a hot load as references, which are assumed to be ideal black bodies. The cold body is a liquid-nitrogen-cooled load that is attached externally to the radiometer box during maintenance, which can be considered as a black body at the LN<sub>2</sub> boiling temperature of approximately 77 K. This standard, together with an internal ambient black body load, is used for the absolute calibration procedure (Maschwitz et al., 2013). In addition, a calibration by tip-curve observations is performed, whereby the instrument collects observations for K band channels at different elevation angles (Turner et al., 2007). The reliability of sky tipping calibrations will strongly depend on how good the assumption of an horizontally stratified atmosphere is. Further details on the calibration procedures of the instrument can be found in Maschwitz et al. (2013).

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from radiosondes.  $\mathbf{S}_a$  and  $\mathbf{S}_e$  are the covariance matrices of the prior and observation uncertainties, respectively.  $F(\mathbf{x}_j, b)$  is the forward model applied to the state vector  $\mathbf{x}_j$ , and depending on the model parameters  $b$ . For simplicity, it will be referred as  $F(\mathbf{x}_j)$ . The forward model output lies on the observation space. The term  $\mathbf{K}$  represents the Jacobian, which can be understood as the variation on the observation vector when a perturbation is performed on the atmospheric state vector (Eq. 2):

$$\mathbf{K}_j = \frac{\partial F(\mathbf{x}_j)}{\partial \mathbf{x}_j} \quad (2)$$

The iterative equation described in Eq. (1) finds the most optimal atmospheric state  $\mathbf{x}_{\text{op}}$ . This state is reached if the convergence criterium is fulfilled (Rodgers, 2000):

$$d_j^2 = (\mathbf{y}_{i+1} - \mathbf{y}_i)^T (\mathbf{S}_e (\mathbf{K} \mathbf{S}_a \mathbf{K}^T + \mathbf{S}_e) \mathbf{S}_e)^{-1} (\mathbf{y}_{i+1} - \mathbf{y}_i) \ll m \quad (3)$$

where  $m$  is the number of elements in the observation vector and much smaller refers to at least one order of magnitude smaller. An error estimation of the solution  $\mathbf{S}_{\text{op}}$  is calculated via:

$$\mathbf{S}_{\text{op}} = \mathbf{S}_a - \mathbf{S}_a \mathbf{K}^T (\mathbf{S}_e + \mathbf{K} \mathbf{S}_a \mathbf{K}^T)^{-1} \mathbf{K} \mathbf{S}_a \quad (4)$$

where  $\mathbf{K}$  is the Jacobian calculated in the last iteration. It is also possible to estimate the information content of the result. The degrees of freedom (DOF) of a profile represent the amount of independent pieces of information in the signal. They can be calculated as the trace of the matrix in the following Eq. (5) (Rodgers, 2000):

$$\mathbf{A}_{\text{ker}} = \mathbf{S}_a \mathbf{K}^T (\mathbf{S}_e + \mathbf{K} \mathbf{S}_a \mathbf{K}^T)^{-1} \mathbf{K} \quad (5)$$

where  $\mathbf{A}_{\text{ker}}$  is the averaging kernel. This matrix is very important to describe the information content, as it describes the subspace of state space in which the retrieval must lie (Rodgers, 2000).

### 3.2 A priori calculation: $x_a$ and $S_a$

The a priori information is calculated from the set of radiosondes launched during HOPE. A total of 217 sondes have been considered as valid. Generally, at least two of them are available for every day of the campaign, typically one around noon and the other at midnight. From these data, average profiles of temperature ( $T$ ) and humidity ( $q$ ) have been calculated to represent the a priori knowledge, together with their SD. These profiles represent  $x_a$  in the algorithm described by Eq. (1).

For the same set of radiosondes, the correlation and covariance ( $S_a$ ) matrices are calculated according to (Wilks, 2006):

$$S_{a,(T,q)} = \begin{pmatrix} \text{cov}(T, q) & \text{cov}(q, q) \\ \text{cov}(T, T) & \text{cov}(q, T) \end{pmatrix} \quad (6)$$

where  $q$  is the absolute humidity and  $T$  is the temperature defined as a function of the altitude:

$$\begin{aligned} q &= [q_1, q_2, \dots, q_k] \\ T &= [T_1, T_2, \dots, T_k] \end{aligned} \quad (7)$$

and  $k$  is the total number of altitudes in the retrieval vertical grid. Both covariance (cov) and correlation (corr) matrices have been calculated as in Eq. (8). The covariance matrix is calculated because it is needed in the algorithm as input ( $S_a$ ), the correlation matrix because it better illustrates the relations between water vapor and temperature in the atmosphere.

$$\text{corr}_{ab} = \frac{\text{cov}(a, b)}{s_a s_b} = \frac{\frac{1}{n-1} \sum_{i=1}^n [(a_i - \bar{a})(b_i - \bar{b})]}{\left[ \frac{1}{n-1} \sum_{i=1}^n (a_i - \bar{a})^2 \right]^{\frac{1}{2}} \left[ \frac{1}{n-1} \sum_{i=1}^n (b_i - \bar{b})^2 \right]^{\frac{1}{2}}} \quad (8)$$

where  $i$  goes over each radiosonde, with a total of  $n = 217$ .  $a$  and  $b$  represent both absolute humidity and/or temperature profiles. The parameters  $\bar{a}$  and  $\bar{b}$  are the averaged vertical profiles for temperature and/or absolute humidity.

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The correlation matrix is presented in Fig. 1. It shows how the two variables ( $q, T$ ) are correlated as a function of the altitude, from ground to 10 km, and is composed of the four submatrices:  $\text{corr}(T, T)$ ,  $\text{corr}(q, q)$ ,  $\text{corr}(q, T)$  and  $\text{corr}(T, q)$ .

The temperature  $\text{corr}(T, T)$  clearly shows the tropopause at altitudes  $> 9$  km. The  $\text{corr}(T, T)$  values are higher than the water vapor  $\text{corr}(q, q)$  values, which show a much higher variability. The values for  $\text{corr}(q, q)$  are strongest close to the main diagonal, but decrease quickly for off diagonal terms, whereas the  $\text{corr}(T, T)$  is stronger in the off diagonal terms. In the lowest 1–2 km there is a higher correlation in all cases, because of the well mixed conditions in the boundary layer. The results are similar to previous studies Ebell et al. (2013).

In this study, the submatrices  $\mathbf{S}_{a,(q,q)} = \text{cov}(q, q)$  and  $\mathbf{S}_{a,(T,T)} = \text{cov}(T, T)$  will be used in Sects. 4 and 5, respectively, when only absolute humidity or temperature are retrieved separately. The complete matrix  $\mathbf{S}_{a,(T,q)}$  will be needed for the simultaneous retrieval of the two atmospheric states, in Sect. 6.

### 3.3 Observations: $y$ and $\mathbf{S}_e$

The vector  $y$  is composed of the TBs from the MWR and the mixing ratio and/or temperature from the RL. Its size is variable, since it depends on the number of values the lidar is able to measure in every given profile. A humidity (temperature) lidar profile is provided every 30 m, from 180 m (from around 1.7 km) to 10 km, with temporal resolution of 5 min. The units of these observations are  $\text{kg kg}^{-1}$  (K). For every lidar profile one must determine the range of altitudes where the data can be considered meaningful. This range has been defined via the relative error. The relative error is calculated at each altitude as the ratio between the error and the measurement, as a percentage. When this value is larger than 100%, the data is considered too noisy and is discarded. Care is needed when defining this threshold, because possible random peaks in the error can lead to a missidentification. Therefore, before the analysis, a running average is performed on the data (we choose 300 m window size) previously to the analysis. In general, the 100% error altitude might be reached at different points depending on the

weather situation or night/day-times. Typically for water vapor it was found at around 3–4 km during daytime measurements; and around 7–8 km in nighttime measurements.

In the simplest case when one single atmospheric parameter is retrieved,  $\mathbf{y}$  is composed of  $t + m$  elements, where  $m$  is the number of altitudes where the lidar measurements have sufficient signal to noise ratio, and  $t$  is the number of TBs. Seven brightness temperatures are used for the retrieval of absolute humidity, while 7 + 20 TBs are used in the case of the temperature retrieval, due to the inclusion of angular information (see Sect. 2.2). In the case of the simultaneous humidity and temperature retrieval, the vector  $\mathbf{y}$  becomes larger and it is formed by  $t_q + t_T + m_q + m_T$ , that is: the seven TBs of the K band ( $t_q$ ), the 27 TBs in the V band ( $t_T$ ), the number of valid altitudes for the lidar mixing ratio ( $m_q$ ) and the number of valid altitudes for the lidar temperature ( $m_T$ ).

Note that TB can be considered from the MWR directly, while the lidar products (mixing ratio and temperature profiles) are used instead. This is because the lidar raw data requires a complex processing and a clear forward model cannot be defined, see Sect. 2.1 for processing details.

On the one hand, the covariance matrix associated with the MWR measurements was obtained empirically by calculating the correlation between the different channels, while constantly viewing an ambient black-body target with known temperature. It is a  $7 \times 7$  square matrix for each band. If temperature and humidity are retrieved together, then it becomes a  $14 \times 14$  matrix. The diagonal elements represent the autocorrelation of each channel, typically with values around the noise level ( $\sim 0.25$  K). The off-diagonal elements represent the correlation between the measurements of different channels. Because the channels share some electronics inside the instrument, the off-diagonal correlations cannot be considered zero, but they typically have values one order of magnitude smaller than the main diagonal.

On the other hand, the part of  $\mathbf{S}_e$  corresponding to the RL is defined as a diagonal matrix containing the variances of every altitude. This definition implies no correlation between measurements in different heights.

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### 3.4 Forward models (FM)

The forward models for the lidar are trivial, since we are not dealing with raw data, but directly with the products. So the lidar FM for water vapor simply performs the conversion from absolute humidity to mixing ratio or scales the temperature grid. In the case of the temperature, the FM is the unity. The FM for the MWR is more complex since it involves a radiative transfer model (Löhnert et al., 2004). It considers emission and absorption of radiation by gases in the atmosphere but neglects scattering, which can be ignored for all atmospheric particles except for rain droplets. The model divides the atmosphere in layers and calculates the optical thickness and absorption coefficients. From these values, and applying the radiative transfer equation (9) (Janssen, 1993), the TBs are calculated:

$$T_{B,\text{ground}} = T_{B,\text{cos}} \exp(-\tau) + \int_0^{\infty} T(s) \alpha(s) \exp\left(-\int_0^s \alpha(s') ds'\right) ds \quad (9)$$

Where  $\tau$  is the optical depth of the whole atmospheric column (opacity),  $\alpha$  is the absorption coefficient [ $\text{m}^{-1}$ ] and  $T_{B,\text{cos}}$  is the cosmic background radiation (approx. 2.7 K) (Janssen, 1993).

The retrieval vertical grid is defined for every profile. It varies, as well as the observation vector, depending on the amount of available lidar information for every given profile. In the atmospheric regions where lidar data is available, the vertical resolution of the retrieval product is 30 m (same as the lidar). Above the point where the RL signal is lost, and since the MWR cannot provide more resolution, the algorithm will retrieve one point every 1 km.

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## 4 Absolute humidity retrieval

### 4.1 Single profile and time series

In a first approach, the OEM has been implemented for the combination of the two instruments to retrieve atmospheric absolute humidity. In addition, it allows to work with a single instrument. This aspect will be interesting to compare the performance of each sensor working alone, with the combination of both.

In the following, the results for one example profile are presented (Fig. 2). The radiosonde launched at 11:00 UTC on the 24 April is shown as reference. The a priori profile is the prior atmospheric knowledge, and the starting point for the algorithm.

At first, we introduce in the OEM only the portion of profile where RL data is valid (i.e. from 180 m to 2.5 km,  $\sim 44$  layers), not taking into account the MWR. The result of the algorithm is a complete profile from ground to 10 km. In the region with lidar availability, the result will tend to the portion of lidar profile, since the error associated to this measurements is very small (on the order of  $0.5 \text{ g m}^{-3}$ ). In the regions where no lidar data can be defined, the profile will be completed with the information provided by the a priori profile, which is the only information available.

On the one hand, if only the seven TBs of the MWR are introduced in the OEM, a very smooth profile is obtained. This is because the seven frequencies do not provide enough information to distinguish fine vertical structures: MWR can only provide  $\sim 2$  DOF per profile, as already mentioned in Sect. 2.1. The a priori profile plays a dominant role.

On the other hand, when RL and MWR are combined in the algorithm, the resulting profile is very similar to the part of RL profile in the region from 180 m to 2.5 km. This is again due to the small error associated to the lidar measurements. Outside this region, the profile is completed based on the information provided by the TBs. The theoretical uncertainty of the product is provided by the algorithm as well. The error is small in the region where there is RL data availability ( $\sim 0.5 \text{ g m}^{-3}$ ), but it increases with altitude, as expected. It is also slightly larger close to the ground ( $\sim 1 \text{ g m}^{-3}$ ). Similarly, error bars

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for the only-RL and only-MWR profiles were obtained in the calculations, with larger values than the joint retrieval error, but these are not plotted for the sake of clarity.

The profile obtained with the RL-MWR combination best fits the RS, shown as reference: it is the only case that can detect the drop in humidity at 3 km and the increase at 5 km. It is interesting to pay attention to the lower part of the atmosphere, close to the ground. In Fig. 2, a zoom from 0 to 250 m is shown. One can see that the lowest values of the RS are  $1\text{--}1.5\text{ gm}^{-3}$  more humid than the rest of the profiles. This might be explained because the sonde has been launched under different local conditions: while the instruments site is located inside the research center, the RS is launched in an open field area. It could cause slight differences in the retrieval close to the ground, but should not be a problem in the free troposphere.

At ground level, the two only available sources of information are the MWR and the a priori, which has a much larger uncertainty than the instrument and thus a smaller weight on the result. Thus, the RL-MWR combination tends to the MWR values close to the ground, but quickly approaches to the lidar, as soon as the first RL values are available. The same procedure can be applied, not only to one single profile, but also to a larger measurement period. The result of the combined retrieval is shown in Fig. 3, which presents the time series of the absolute humidity on the 17 April 2013, during HOPE. The figure shows a more humid layer close to the ground with values around  $8\text{--}9\text{ gm}^{-3}$ . Fine structures and their temporal evolution are well captured, associated with a cold front.

## 4.2 Statistics over HOPE

The absolute humidity algorithm has been applied to all the clear sky periods with simultaneous availability of MWR and RL. The MWR was working continuously, so this selection is restricted to lidar availability. There are in total 4201 lidar profiles (30% of the total campaign). Out of them, 717 profiles have been considered as clear sky (around 17% of the total). Out of all the clear sky profiles, the convergence of the OEM is found in 95.8% of the cases, that is, 687 profiles. In the rest of the cases, the conver-

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is available (from 500 m to 2.5 km). But in the lower region for the case of the increased OVF, the combination of the two instruments is clearly better: there is an uncertainty reduction at the ground level of about  $0.1 \text{ g m}^{-3}$  from the combination with respect to the only-RL, which is gradually reduced towards the total lidar overlap until  $\sim 400 \text{ m}$ .

This confirms that the MWR contributes with higher information content in the lower atmosphere. Above this point and up to 2.5 km, the error is almost equal for the cases of regular OVF and increased OVF. From 2.5 to 10 km, the increase of the OVF shows a slight increase in the theoretical error of  $\sim 0.05$  and  $\sim 0.02 \text{ g m}^{-3}$  for the RL + MWR and only-RL cases, with respect to the regular OVF.

### 4.2.5 Increase of the RL error

In Sect. 3.3 the components of the covariance matrix  $\mathbf{S}_e$  were determined to our best knowledge. However, it might be possible that additional uncertainty sources exist. When we compared the theoretical uncertainty for the different instrument configurations (Fig. 6), the only-RL error at 2 km was  $0.1 \text{ g m}^{-3}$  which is significantly lower than the deviation with respect to the RS at the same altitude ( $0.4 \text{ g m}^{-3}$ ; Fig. 5). That means that the theoretical error is about four times smaller than the SD to the RS. This fact could suggest that the error associated to the lidar is very small, or in other words: that the initial lidar uncertainty was not properly defined. As explained in Sect. 3.3, only Poisson noise was taken into account but there can be other possible sources of uncertainty. For this reason, we artificially incremented the RL error by a factor of 4 to study the sensitivity of the retrieved profile with respect to the RL measurement uncertainty.

The results of this test are plotted in Fig. 7, together with the initial values (without increment), for the only-lidar and combination cases. The new averaged errors have a very similar starting point at the ground, but they have increased by a factor of 2 to 3 in region (b). The difference between the increased errors in the only-RL and RL + MWR cases is more noticeable than the original cases (with no increment), especially from 2 km upwards.

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A change is also observed on the averaged DOF of the profiles (Table 2), which allows to study the amount of information provided by the different instruments in the three different atmospheric regions. Table 2 summarizes these mean values in the different regions.

For the only-RL case: in the regions where no lidar data is available (a and c), the DOF are as expected, zero. But in region (b), the DOF are very high, meaning that the instrument provides a large information content, indirectly explained because the error of the lidar is small. The MWR-only presents a much smaller number of DOF but distributed in the whole profile. Proportionally, the DOF are higher for lower altitudes, which confirms the better performance of the MWR close to the ground. The numbers for the MWR + RL combination show that, thanks to the inclusion of MWR, the DOF in regions (a) and (c) are not zero any more and still in region (b) the DOF remain almost the same. In any case, the total average number of DOF in the column is largest for the combination of the two instruments, increasing in almost 2 DOF with respect to the only-RL case, and in almost 25 DOF with respect to the only-MWR profile. That is another benefit of the synergy.

When an increment in the RL uncertainty is considered, the amount of useful information provided by this instrument is smaller, and thus the DOF are reduced. This reduction is experimented in all the regions where the RL is involved. The numbers for the MWR only retrieval, remain the same, because no change on this instrument is done.

To help in the interpretation of the numbers in Table 2, Fig. 8 has been included. This figure represents the cumulative degrees of freedom per profile for the different instrument combinations. In the case of only-MWR, the cumulative DOF are smaller than for the other cases, reaching a maximum of about 2. Whenever lidar is available, the DOF increase linearly, thanks to the strong lidar information content. In the case of only-RL, above 2.5 km, the cumulative DOF remain constant because no additional information is introduced. Nevertheless, for the RL + MWR, the cumulative DOF is still increasing above 2.5 km thanks to the inclusion of the MWR information.





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In the case of the temperature, the lidar profile for this specific case study is much more affected by the OVF than in the water vapor profile, and so there are no valid temperature lidar measurements under 2.5 km. The resulting profiles are compared to the RS. In a first approach, the algorithm is run with RL-only data. The resulting profile has a large error in region (a) where the difference to the RS reaches values larger than 4 K. This is because the result tends to the a priori information. In region (b) the difference is reduced to values smaller than 1 K.

In a second step, the OEM is also run introducing only the TBs of the MWR, taking into account the angular information. In this case, an inversion of the temperature close to the ground is detected, which cannot be resolved by the lidar, see right panel on Fig. 9. The only-MWR performs better in region (a), reducing the difference to more than one fourth of the only-RL value in the lowest 1.5 km. The deviation with respect to the radiosonde grows with the altitude, taking on larger values in region (b) from 5 km above.

When the combination is performed, the result is strongly improved if it is compared to the reference. The RL + MWR product presents the smallest deviation to the RS in regions (a) and (b), with values around  $\sim 1$  K up to 7 km. In the lower 2 km of the atmosphere there is a strong improvement of the joint RL + MWR retrieval, because the MWR performs better in this region and the angular scanning is able to enhance the information content. To sum up, it is shown that the total profile error is reduced by 47.1 and 24.6 % with respect to the only-MWR and only-RL profiles, respectively.

The degrees of freedom for the temperature profiles are also presented in Table 3. The independent pieces of information are improved in the lower part of the atmosphere when introducing MWR information. The combination RL-MWR presents the highest information content, increasing the number of DOF in more than one, with respect to the only-RL case, and in  $\sim 6$ , with respect to the only-MWR profile.

## 6 Simultaneous absolute humidity and temperature retrieval: relative humidity

Including joint information on water vapor and temperature should lead to improvements on the RH estimates which are of particular interest to study cloud formation. In Sect. 3.2, the correlation information among  $T$  and  $q$  as a function of the altitude was presented (Fig. 1). In this section, the OEM has been also implemented to retrieve temperature, absolute humidity and relative humidity simultaneously, taking into account that all these three parameters are not independent. The results of running the simultaneous  $T$ - $q$  algorithm for RL + MWR, are shown in Fig. 10, and are also compared to the individual profiles obtained separately as described in Sects. 4 and 5. In these two cases, the resulting  $T$  and AH profiles are very similar (see Fig. 10) and no remarkable changes are evidenced. But the RH profiles present some differences. Even if in the lower 5 km the two profiles are alike, above this altitude the resulting RH profile which is calculated introducing  $T$ - $q$  correlation, presents a  $\sim 20\%$  smaller deviation to the RS than in the case where  $T$ - $q$  are retrieved independently. This is the main advantage of using the  $T$ - $q$  correlation.

Unfortunately, the RL temperature product is not always available. Because of this reason, we wanted to investigate whether the simultaneous retrieval of RH is still reasonably good when only using the RL mixing ratio profiles in the cases where there are no RL temperature data. MWR information is kept the same.

The simultaneous  $T$ - $q$  algorithm is run again without taking into account the RL temperature profile. Results are shown in Fig. 10, being very similar to the case when RL temperature was used. Indeed, the no-temperature RL profile presents the smallest average deviation to the RS ( $\sim 2.5\%$ ) in the complete profile, compared to the case where RL temperature was included ( $\sim 4.3\%$ ) and the case when  $T$  and  $q$  were retrieved independently ( $\sim 7.8\%$ ).

On the one hand, we have shown that, for a particular case study, the introduction of correlation information  $T$ - $q$  is beneficial because it reduces the deviation to the RS, specially in the upper part of the atmosphere, where there is no RL water vapor signal.

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On the other hand, we demonstrate that the RL temperature information is not essential and that the RH retrieval is still good when this information is omitted. Unfortunately, since the RL temperature data availability is reduced during the campaign, a further investigation with more case studies cannot be carried out at present for HOPE data.

## 7 Conclusions

Humidity and temperature are essential variables for the description of any meteorological process. Highly resolved, accurate and continuous measurement of these parameters are required for a deeper understanding of many atmospheric phenomena. Unlikely, single instruments available nowadays are not able to provide vertical coverage, vertical and temporal resolution of the humidity and temperature atmospheric profiles. This is the motivation why the synergy of different sensors has become a trend in the last years.

In this paper, a new method to combine Raman lidar and microwave radiometer measurements has been presented. The joint algorithm that combines the two sensors is based on an Optimal Estimation Method. Results for 53 h of clear sky measurements during the HOPE period are presented for water vapor retrievals, together with one temperature and relative humidity case study.

The improvements of the synergy have been analysed in terms of several parameters, like the reduction of the theoretical error or the increase of DOF, showing strong advantages with respect to the two instruments working separately. For example, when applying the combined retrieval to the complete HOPE period, the absolute humidity error can be reduced by 59.8 and 37.9 % on average, with respect to the retrieval using only MWR data or only RL, respectively. Results for a case study temperature profile show that the error is improved in a 47.1 and 24.6 % with respect to the only-MWR and only-RL profiles, respectively. The synergy present its strongest advantages in the regions where RL data is not available, whereas in the regions where both instruments are available, RL dominates the retrieval.

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One relative humidity profile has been retrieved by assuming temperature and humidity correlations in the atmosphere, calculated from RS data. The joint information on  $T$ - $q$  leads to improve the RH estimates, which will be of particular interest to study cloud formation. In addition, it has been shown than the RH profiles can be successfully retrieved without using RL temperature information. A larger data set to study the temperature and relative humidity retrievals could be desirable.

With the expansion of the ground based network of atmospheric profiling stations the application of the OEM at several sites under different climate conditions will become possible. In this respect, the definition of an appropriate background error covariance needs to be carefully addressed. Further studies will extend the algorithm to cloudy cases. In addition, the method will be applied, not only to ground based measurements, but also to airborne data (Mech et al., 2014), which will allow to complete the study of meteorological phenomena from the airborne point of view.

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**Table 1.** Mean and SD between the OEM product and (a) GPS and (b) standard product of the MWR retrieved with multi-variable regression. Units:  $\text{kg m}^{-2}$ .

Sources	Mean	SD
(a) OEM and GPS	-0.288	1.205
(b) OEM and MWR	0.599	0.656

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**Table 2.** Degrees of freedom for signal comparison for absolute humidity. Average over 636 profiles. The atmosphere is separated in three regions according to lidar availability. The DOF are presented for three cases: only RL, only MWR and the combination of both instruments. In the upper part, no increment on the RL error has been considered. In the bottom part, the RL uncertainty has been multiplied by a factor of four.

Region	RL	MWR	Combination
(a) Ground to 180 m	0.00	0.07	0.03
(b) 180 m to 2.5 km	25.90	1.01	25.75
(c) 2.5 to 10 km	0.00	1.18	1.69
Total	25.90	2.26	27.47
Region	RL	MWR	Combination
(a) Ground to 180 m	0.00	0.07	0.06
(b) 180 m to 2.5 km	12.19	1.01	12.11
(c) 2.5 to 10 km	0.00	1.18	1.57
Total	12.19	2.26	13.74

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**Table 3.** Degrees of freedom for temperature retrieval, separated in three regions in the atmosphere. Lidar data is only present in region (b). The DOF are presented for the cases were only-RL is used, only-MWR and for the combination of the both instruments.

Region	RL	MWR	Joint
(a) 0 m to 2.5 km	0.00	2.64	2.57
(b) 2.5 to 7 km	8.15	0.47	6.60
(c) 7 to 10 km	0.00	0.07	0.05
Total	8.15	3.19	9.23

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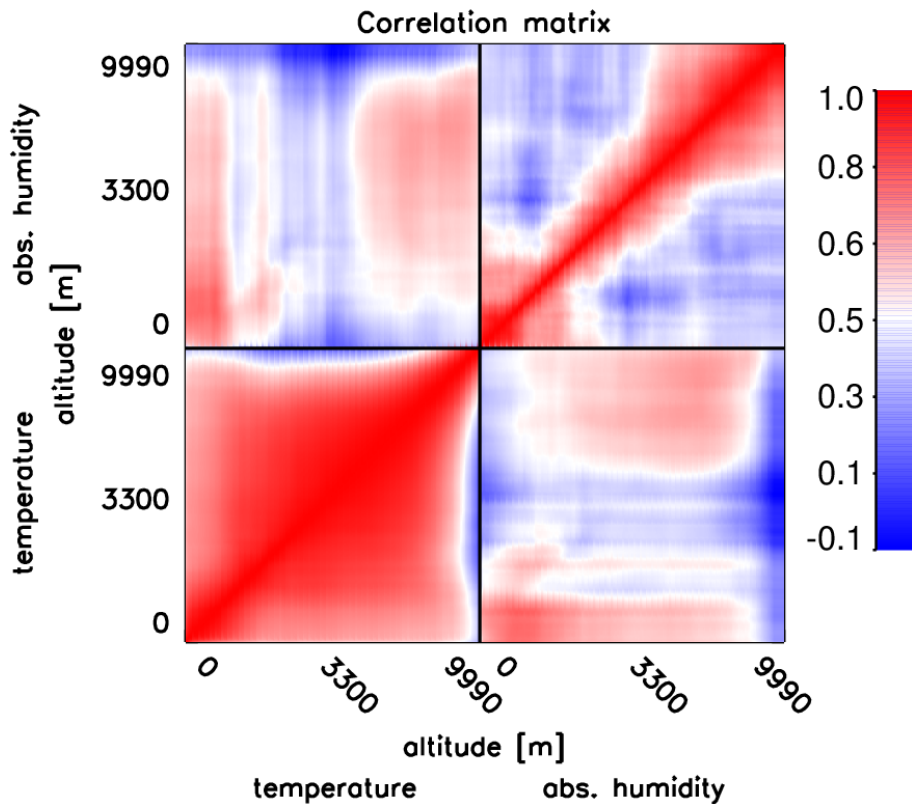
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**Figure 1.** Correlation matrix for the 217 radiosondes in HOPE. Correlation is shown between temperature and absolute humidity as a function of the altitude (from 0 to 10 km). First and fourth quadrants (from up to down and left to right), represent the  $\text{corr}(q, T)$  and  $\text{corr}(T, q)$ . The second and third, the  $\text{corr}(q, q)$  and  $\text{corr}(T, T)$  respectively.

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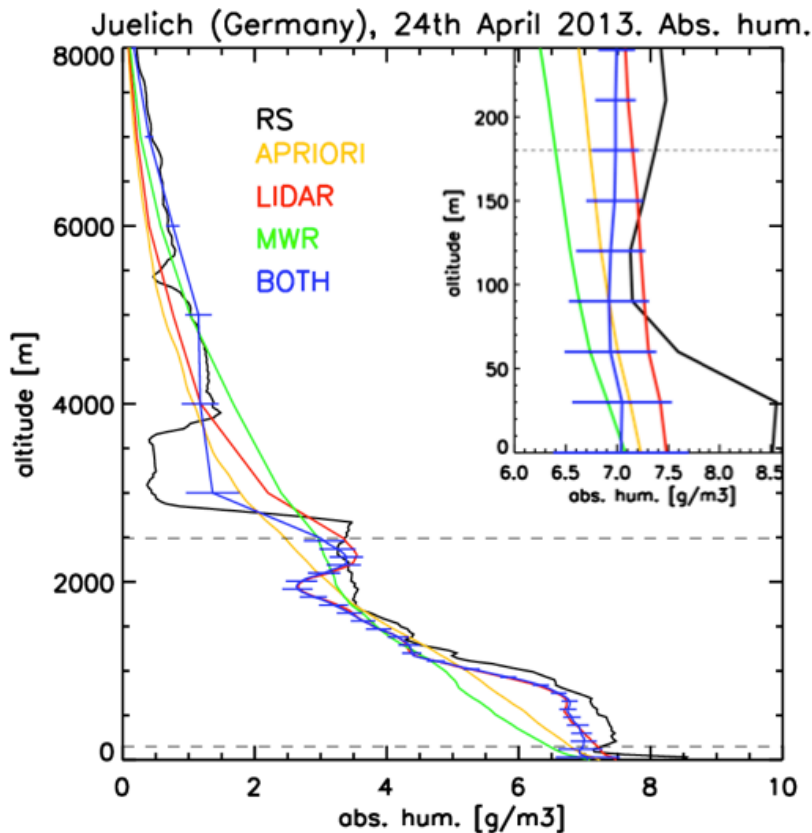
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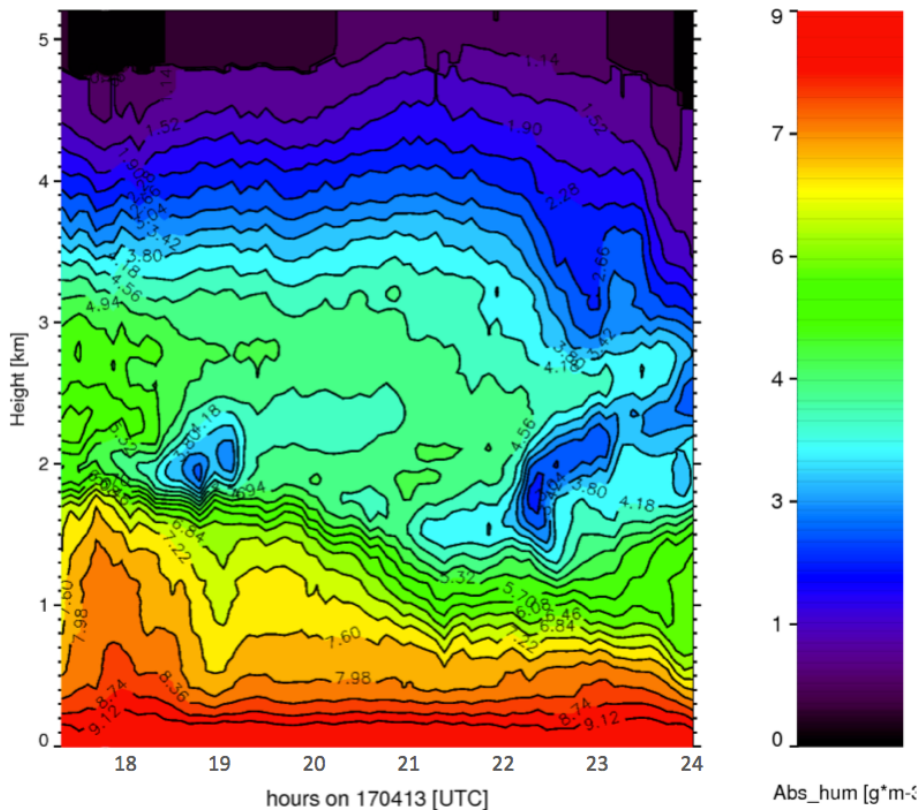
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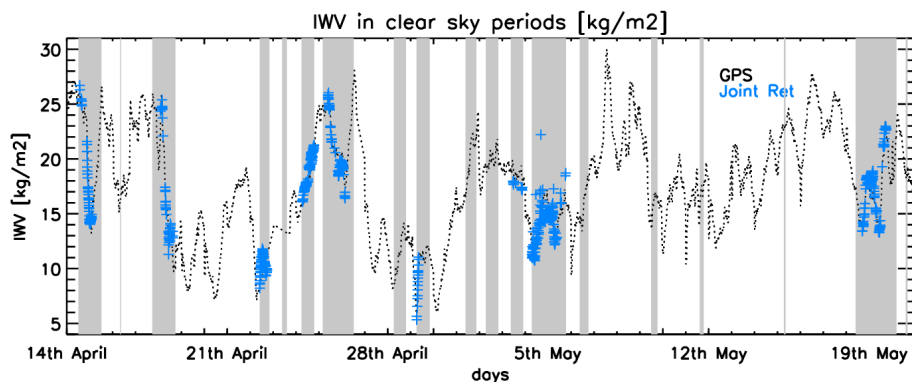
**Figure 2.** Algorithm performance for a single water vapor profile. Comparison between different instruments: in black, the RS is taken as reference. Yellow is the a priori information. Red is the result of the algorithm with RL only as input. Green is the resulting profile for only MWR. Blue is the combination of both instruments (RL + MWR) with the error bars associated to the retrieved profile. The dashed horizontal lines enclose the region where the lidar data is used. The upper right panel is a zoom for the region close to the ground, between 0 and 250 m.





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**Figure 4.** Time series of IWV during the whole HOPE period for clear sky cases. In black: the GPS signal; in blue: the IWV calculated from the joint retrieval (only in clear sky cases). Shaded areas represent the RL availability.

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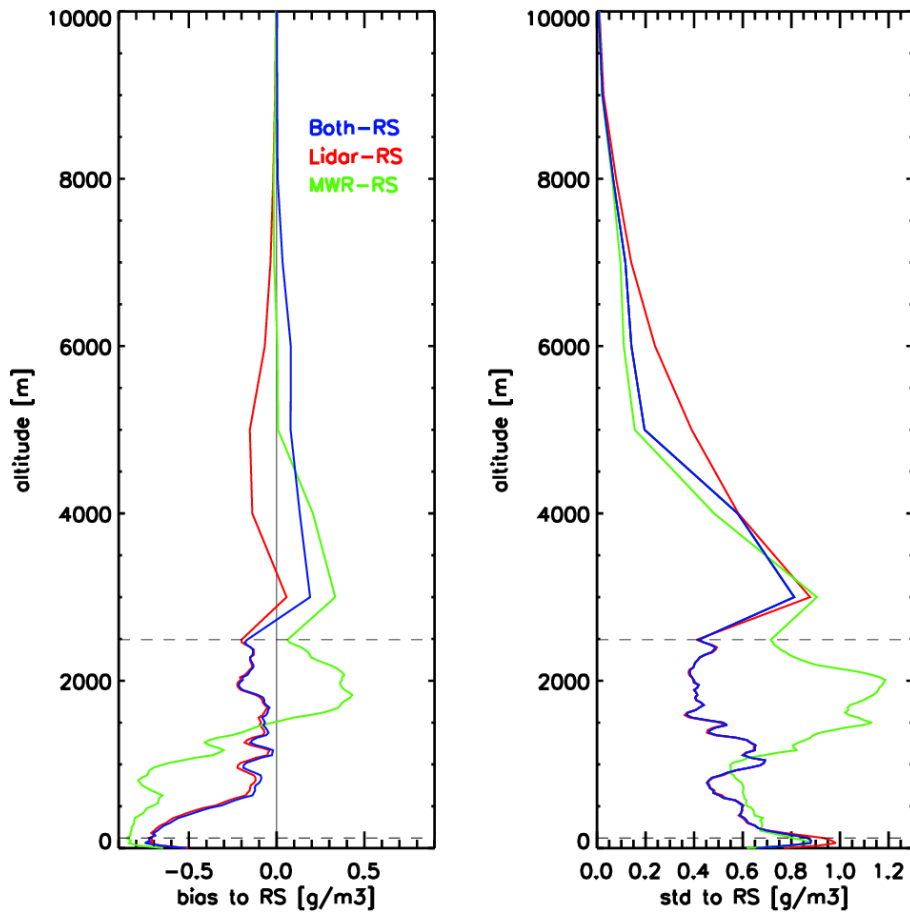
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**Figure 5.** Mean and SD of the difference between the 18 clear sky radiosondes: MWR (in green), RL (in red) and the combination of both (blue). The dashed horizontal lines enclose the region where the lidar data is used.

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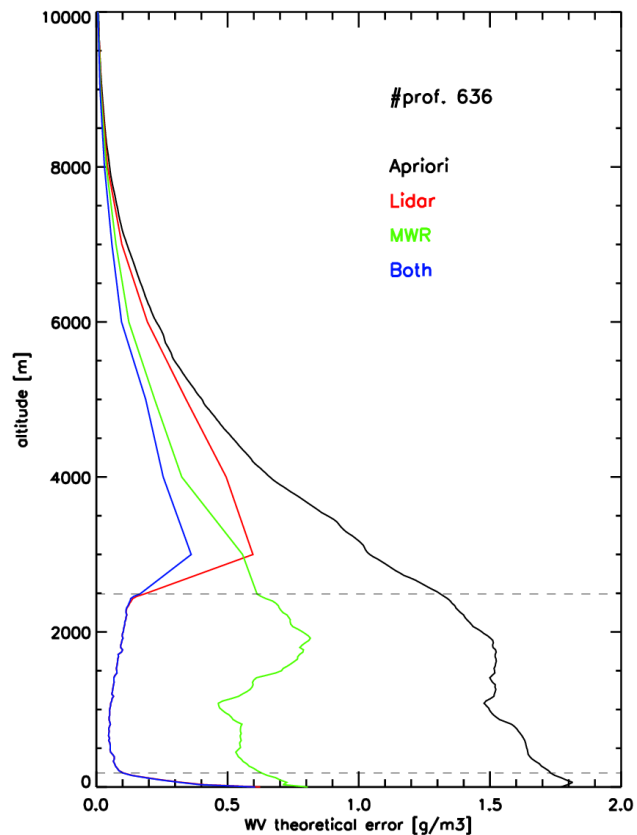
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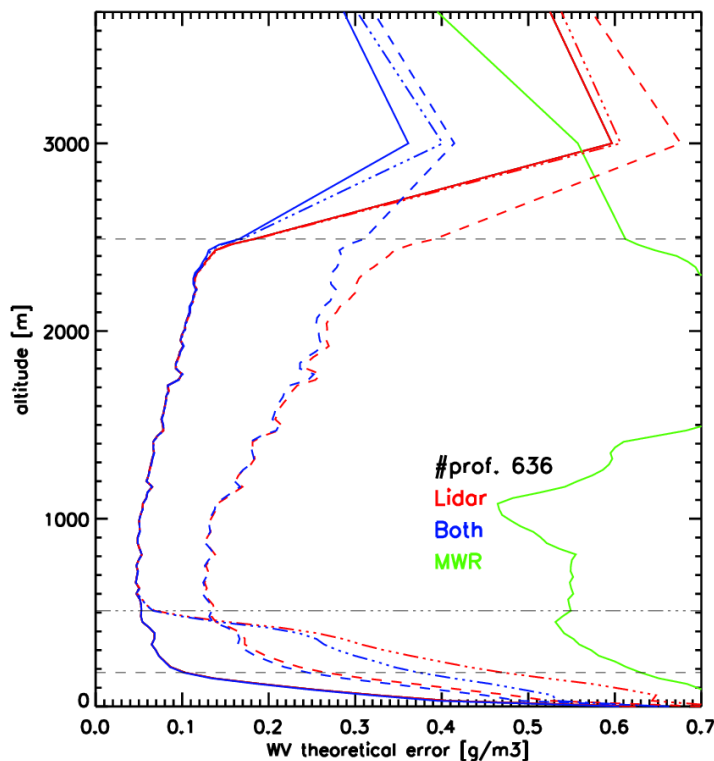


**Figure 6.** Mean theoretical uncertainty over the 636 clear sky cases during the complete HOPE period. In black: a priori uncertainty. Red: only lidar has been introduced in the algorithm. Green: only MWR. In blue, the combination of the both instruments. The dashed horizontal lines enclose the region where the lidar data is used.

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**Figure 7.** Mean theoretical error over the 636 clear sky cases during the complete HOPE period. Red: only RL has been introduced in the algorithm. Green: only-MWR. In blue, the combination of RL and MWR. The dashed horizontal black lines define the region where lidar data has been considered available. The dashed red and blue lines represent the result when the lidar error has been incremented by a factor of four. The dotted-dashed red and blue lines correspond to the case where lidar data has been suppressed from ground until 500 m. Solid lines show the errors without increments, as shown in Fig. 6.

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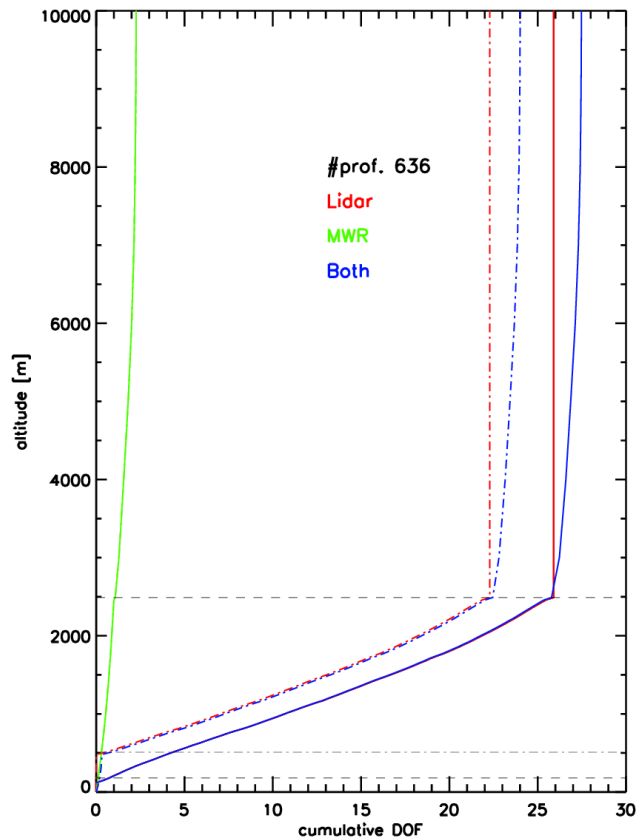
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**Figure 8.** Cumulative degrees of freedom per profile for the different instrument combinations: in red, only-RL; in green, only-MWR and in blue, the combination of the two sensors. The dotted-dashed lines represent the degrees of freedom for the case where the overlapping function has been extended up to 500 m. The average number of DOF in every region are summarized on Table 2. The dashed horizontal grey lines enclose the part of the atmosphere where lidar data has been considered.

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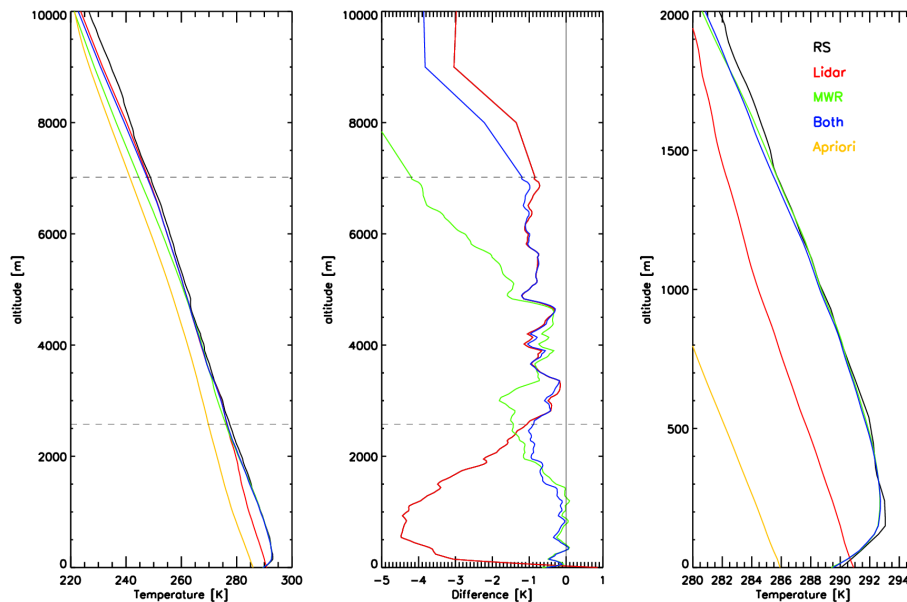
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**Figure 9.** Example profile for temperature retrieval, the 17 April 2014, at 23:00 UTC. **(a)** Complete profiles of temperature for (black) the radiosonde, (red) only-RL information, (green) only MWR, (blue) the combination of MWR and RL. The horizontal dashed grey lines enclose the area where RL data was available. **(b)** Difference with respect to the radiosonde and **(c)** zoom to the lower 2 km of the atmosphere.

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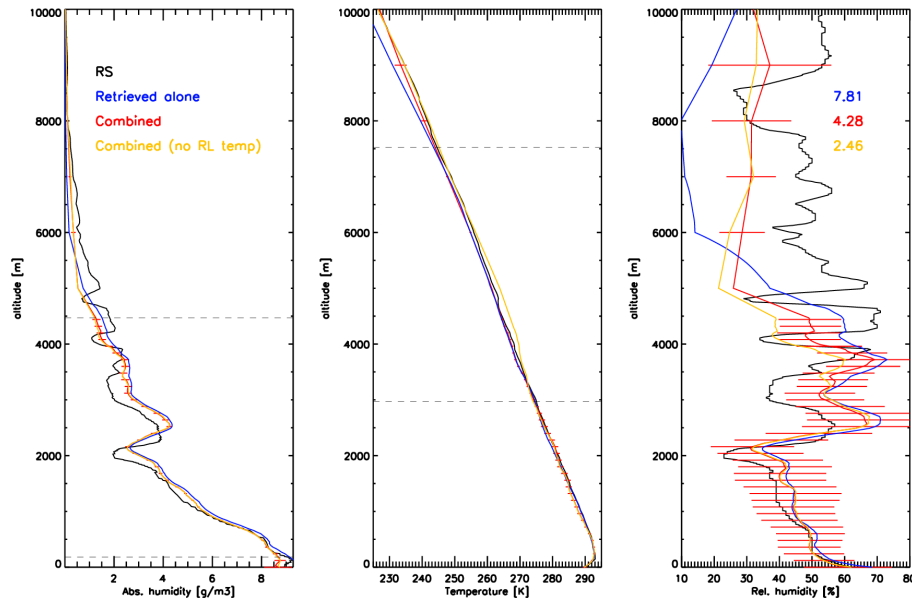
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**Figure 10.** Absolute humidity, temperature and relative humidity from RS (black), profiles retrieved separately using MWR + RL (blue), the simultaneous  $T$ - $q$  retrieval using MWR + RL (red) and the simultaneous  $T$ - $q$  retrieval without RL temperature (yellow). Horizontal bars represent the error associated to the resulting profiles. The horizontal grey dashed lines enclose the area where lidar data was available. Numbers represent the averaged difference to the RH of the RS for each case in percentage [%].

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