Biology Direct

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# A comprehensive molecular characterization of a claudin-low luminal B breast tumor

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# **Abstract**

Breast cancer is the most common cause of death from cancer in women. Here, we present the case of a 43-year-old woman, who received a diagnosis of claudin-low luminal B breast cancer. The lesion revealed to be a poorly diferentiated high-grade infltrating ductal carcinoma, which was strongly estrogen receptor (ER)/progesterone receptor (PR) positive and human epidermal growth factor receptor (HER2) negative. Her tumor underwent in-depth chromosomal, mutational and gene expression analyses. We found a pathogenic protein truncating mutation in the *TP53* gene, which is predicted to disrupt its transcriptional activity. The patient also harbors germline mutations in some mismatch repair (MMR) genes, and her tumor displays the presence of immune infltrates, high tumor mutational burden (TMB) status and the apolipoprotein B mRNA editing enzyme catalytic polypeptide 3 (APOBEC3) associated signatures, which, overall, are predictive for the use of immunotherapy. Here, we propose promising prognostic indicators as well as potential therapeutic strategies based on the molecular characterization of the tumor.

**Keywords** Luminal breast cancer, Claudin‐low tumors

# **Introduction**

Breast cancer, the most common cancer in women worldwide, represents a genetically, histologically, and clinically heterogeneous disease with multiple distinct subtypes  $[1-6]$  $[1-6]$ . These subtypes are commonly defined based on the immunohistochemical detection of estrogen

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receptor (ER), progesterone receptor (PR) and human epidermal growth factor receptor (HER2). Breast cancer can be classifed into fve main molecular subtypes [[7–](#page-10-2)[10\]](#page-10-3). Luminal A breast cancers are HER2-negative with low levels of proliferation (Ki-67<20%) but show ER and/or PR positivity. Therefore, these cases are clinically slow growing and low grade and are associated with the best survival rate and lowest relapse incidence. Luminal B cases, similarly, are also ERPos, PR<sup>low/neg</sup> HER2<sup>neg</sup>, but show a much high expression of Ki67 (>20%; [https://](https://www.ncbi.nlm.nih.gov/books/NBK583808/) [www.ncbi.nlm.nih.gov/books/NBK583808/](https://www.ncbi.nlm.nih.gov/books/NBK583808/)) [[11](#page-10-4)[–14](#page-10-5)]. They are intermediate/high histologic grade, grow faster than luminal A tumors and correlate with less favorable prognosis. The HER2 subtype is characterized by high expression of HER2 and variable expression of ER, PR and Ki67  $[15]$  $[15]$ . The luminal HER2 subtype is defined by ER/PR positivity with 15–30% of Ki-67 expressing cells, while the HER2-enriched sub-group is  $ER<sup>neg</sup>/PR<sup>neg</sup>$ and displays higher Ki-67 positivity  $(>30\%)$ . The HER2



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subtype is fast-growing and is generally more aggressive, with a worse outcome compared to luminal cancers, although prognosis has been improved by the introduction of HER2-targeted therapies. The last subgroup is the triple negative breast cancer (TNBC), which is negative for all hormone receptors as well as for HER2 expression [[16,](#page-10-7) [17](#page-10-8)]. It is poorly diferentiated, highly proliferative, and it is associated with the most adverse prognosis. Further sub‐classifcation of breast tumors into new molecular entities allowed the identifcation of distinct sub-type, such as the claudin-low [[12,](#page-10-9) [18](#page-10-10)[–20](#page-10-11)]. Clinically, claudinlow tumors show a poor prognosis with invasive ductal carcinomas that preferentially, but not exclusively, display a TNBC phenotype  $[21]$  $[21]$ . They are typically associated with young age of onset, high tumor grade, large tumor size, local recurrence rate and massive lymphocytic infltrate within the tumor microenvironment [[22,](#page-10-13) [23\]](#page-10-14). Claudin‐low tumors generally exhibit high levels of genomic instability.

Endocrine therapy represents the standard treatment for hormone receptor positive breast cancers and has increased the overall prognosis of the luminal subtype. Nevertheless, most patients, eventually develop resistance to endocrine therapy, thus limiting their efficacy. Acquired endocrine resistance mechanisms in hormone receptor-positive breast tumors include the occurrence of somatic mutations leading to the activation of signaling pathways that can bypass estrogen dependency. Somatic mutations associated with endocrine resistance comprise *EGFR* amplifcation and activation of the insulin/IGF-I receptor pathway [\[24](#page-10-15), [25\]](#page-10-16).

In this case report, we present a luminal B breast cancer patient, with high cancer proliferation features. A

molecular profling of the tumor was achieved by exploiting genome-wide mutational analyses, transcriptomics, the tumor mutational burden (TMB) rate, microsatellite instability (MSI), immune checkpoints, and cancer mutational signatures.

# **Case presentation**

# **Case narration**

Here we report the case of a 43-years old woman who underwent surgery in 2020 for a malignant nodule (diameter of 1.2 cm) in the lower inner quadrant of the left breast (Table [1](#page-1-0)). According to the Nottingham grading system [\[26](#page-10-17)], breast lesions have been classifed as a poorly diferentiated high-grade infltrating ductal carcinoma (G3; tubules 3; pleomorphism 3; mitosis 2). No metastatic cells were observed in the sentinel lymph node. The TNM staging was  $pT1c$ , N0. Immunohistochemical analysis allowed for classifcation of the breast lesions as luminal B breast cancer. Specifcally, the histology showed high positivity for both ER (80% positive cells) and PR (60% positive cells), a high proliferation index (ki67 = 35%) and c-Erb-B2 score [1](#page-2-0) (Fig.  $1A-D$ ). The expression levels of ER1 (medium), PR (high) and HER2 (low) and MKI67 (high) were also confrmed by RNAseq analysis. As shown in Fig. [1E](#page-2-0), the patient indeed showed upregulation of ER1/PR1, very weak expression of HER2 and elevated levels of MKI67 as compared to the clinical cohort (316 ERP<sup>os</sup>PRP<sup>os</sup>HER2<sup>neg</sup> cases out of total 586 breast cancer patients). The diagnosis of luminal B subtype was consistent with both histopathological and molecular data.

Further characterization of the patient specimen by gene expression profling classifed the tumor as a

<span id="page-1-0"></span>**Table 1** Clinical data of the breast cancer patient enrolled in this study

<b>Parameters</b>	<b>Description</b>
Gender	Female
Age at surgery	47
Smoker	Yes
Tumor type	Breast primary tumor
Histological type	Ductal carcinoma
Subtype	Luminal B Claudin $(ER + PR + HER2-)$
<b>TNM</b>	T <sub>1</sub> c N <sub>0</sub> M <sub>0</sub>
ICD	C50.3
Grading	G3
Dignity	Malignant
Ki-67	35
Treatment	Surgery (2020-10-27)
Therapy	CHT adj (Adriamycin A + Cyclophosphamide AC) + PTX (Paclitaxel) + hor- mone therapy HRH (enanthone) + exemestane and RDT.mammography total body scan negative



<span id="page-2-0"></span>**Fig. 1** Histological and immunohistochemical evaluation and the molecular profle of a breast carcinoma. Images shows **A** high percentage of ER positive cancer cells in a poorly diferentiated high-grade infltrating ductal carcinoma, **B** numerous PR positive breast cancer cells, **C** Ki67 staining displaying a high proliferation index, **D** very low c-Erb-B2 expression in breast infltrating cancer cells (c-Erb-B2 score 1). **E** RNA-Seq expression levels (TPM) of ER1 (ER1, ESR1), PR 1 (PR1), HER2 and proliferation marker Ki-67 (MKI67) for the patient (red triangle) and the clinical cohort (blue boxplot)

claudin-low breast cancer. Claudin-low tumors are characterized by a specifc gene expression profle, which includes low expression of genes associated with tight junctions and epithelial cell–cell adhesion, including claudins (CLDNs) 3, 4 and 7  $[21, 22]$  $[21, 22]$  $[21, 22]$  $[21, 22]$ . They are typically, but not exclusively negative for ER, PR, and HER2 [\[22](#page-10-13)]. Claudin-low tumors can be either enriched in mesenchymal traits or in stem cell features depending on the specific subgroup  $[27]$  $[27]$ ; they also display high immune cell infltration and low luminal/epithelial diferentiation [\[28](#page-10-19)]. In agreement with a mesenchymal/stem cell‐ like state, claudin‐low tumors are characterized by high expression of vimentin and N‐cadherin, and several

transcriptional repressors of E‐cadherin [[18](#page-10-10), [28](#page-10-19), [29\]](#page-10-20). As shown in Fig. [2A](#page-3-0), the tumor showed downregulation of CLDN1, CLDN4, CLDN7 and CLDN8, relatively to the non-claudin low cohort. Coherently, low expression of claudins (Fig. [2](#page-3-0)A) in the patient correlated with elevated mRNA levels of the CDH2 gene encoding N-cadherin (Fig. [2B](#page-3-0)) and of transcriptional repressors of E-cadherin, such as the epithelial-mesenchymal transition (EMT)-inducing transcriptional factors SNAI1, SNAI2, TWIST1, TWIST2, ZEB1 and ZEB2 (Fig. [2C](#page-3-0)). In addition, we observed high expression of other EMT-inducing factors, including HIF1 $\alpha$  (Fig. [2D](#page-3-0)), and high EMT and hypoxia related expression signature scores (Fig. [2E](#page-3-0)). The



<span id="page-3-0"></span>**Fig. 2** Molecular alterations detected in the patient. **A** RNA-Seq expression levels of CLDN1, CLDN4, CLDN7 and CLDN8 in the patient relatively to the non-claudin low cohort. **B** CHD2 (N-cadherin) is highly expressed in the patient relatively to the claudin low tumor group as well as to the non-claudin low cohort. **C** RNA-Seq expression levels of EMT-inducing transcriptional factors (where low values refer to epithelial cell status and high values to mesenchymal cells), and **D** HIF1α. **E** EMT and hypoxia expression signature scores assessed in the tumor. Hypoxia is measured as frequency between 0 and 1. EMT score represents epithelial like as low score and mesenchymal like as high scores. **F** TGF-β pathway activity score relatively to the normal tissue and RNA-Seq expression levels of TGF-β1

TGF-β signaling promotes breast cancer invasion and metastasis by driving EMT through transcriptional regulation of EMT factors, such as SNAIL, SLUG and TWIST [[30,](#page-10-21) [31\]](#page-10-22). Approximately 40% of human breast tumors display a positive TGF-*β* gene response signature that is more associated with in ER<sup>neg</sup> tumors and lung metastasis [\[32](#page-10-23)]. In this regard, the patient showed a relatively high TGF-β pathway activity score as compared to normal tissue along with elevated of TGF-β1 expression relatively to the clinical cohort (Fig. [2F](#page-3-0)). Claudin-low tumors are also characterized by marked immune and stromal cell infltration [[27\]](#page-10-18). Immunohistochemical evaluation of the tumor revealed the presence of immune cell infltration (Fig. [3](#page-4-0)A and B). Additionally, gene expression analyses confrmed that the tumor was highly infltrated by immune cells including cytotoxic lymphocytes, NK, T and CD8+T cells relatively to the clinical cohort (Fig. [3C](#page-4-0)).

Breast cancer is characterized by genomic complexity with diferent chromosomal and gene alterations, with the most frequently altered genes being *BRCA1/2*, *TP53*, *PTEN*, *PIK3CA*, *ERBB2*, *FGFR1, CCND1*, *BARD1* and *PALB2* [[33,](#page-10-24) [34\]](#page-10-25). Breast cancer is generally driven by multiple mutations at low penetrance that act cumulatively. Luminal/ER-positive subtypes are reported to be the most heterogeneous in terms of mutation spectrum and copy number changes [\[35\]](#page-10-26). A comprehensive genomic profling of the tumor indicated the presence



<span id="page-4-0"></span>**Fig. 3** Presence of immune infltrates in the tumor. **A** Intertumoral infammatory infltrate (asterisk) in an infltrating breast carcinoma. **B** High magnification of panel A displaying lymphocyte intertumoral infiltrates (asterisks). Scale bar represents 50 µm for panel A and 100 µm for panel B. **C** Immune cell deconvolution. High immune cells infltration in the patient, represented by high frequencies of cytotoxic lymphocytes, T cells (specifcally CD8+) and NK cells

of three concurrent somatic mutations with single base substitutions in the epidermal growth factor receptor (*EGFR*), insulin growth factor receptor-1 (*IGF1R*) and *TP5[3](#page-4-0)* genes (Table [2](#page-5-0) and Fig. 3), which are mutated in several aggressive tumors [\[36](#page-10-27)–[42\]](#page-10-28). Activated EGFR is a well-known therapeutic target in lung and breast cancer, in which small molecule tyrosine kinase inhibitors or anti-EGFR monoclonal antibodies can be exploited [\[43](#page-10-29)]. Nevertheless, the single nucleotide variant c.844G>A (p.Glu282Lys) of the gene encoding the EGFR identifed in the patient (Fig. [4A](#page-6-0)), and previously reported in lung cancer, it is unlikely to be pathogenetic and was not found in both the METABRIC [\[44\]](#page-11-0) and TCGA [[34\]](#page-10-25) datasets in cBioPortal [\[45](#page-11-1)].

We identifed a somatic mutation c.3187-5C>T (p.Met186Val) in the I*GF1R* gene that was also found in one out of 3593 METABRIC/TCGA patients. However, this variant had never been described in mammary tumors or in other cancer types, therefore its clinical significance remains unknown. This mutation is located within the L1 domain of the IGF1-binding site (Fig. [4](#page-6-0)B) in the IGF1 protein and is predicted to alter a non-conserved nucleotide, which is close to a canonical splice site. Whether and how the mutation could afect mRNA splicing needs to be elucidated. In addition, IGF1R gene amplifcation was detected in the patient (Fig. [4](#page-6-0)C and Table [2](#page-5-0)). IGF1R controls the expression of genes that regulate cell survival and cell cycle progression, thus critically infuencing tumorigenesis. Gene amplifcation or overexpression of IGF1R are frequent events across several tumor types, including breast cancer, though activating mutations of the *IGF-1R* gene have not been reported [[46\]](#page-11-2). Accordingly, no *IGF1R* mutations were found in the METABRIC/TCGA datasets. Overall, at least 50% of breast tumors have an activated IGF-1R [\[47\]](#page-11-3) that has been reported to play a crucial role in cancer promotion [[48\]](#page-11-4). However, opposing evidence also exists, supporting the possibility that the IGF-1R has a dual function in cancer, and can also act as a tumor suppressor. More recent reports have indeed indicated that overexpression of IGF-1R in luminal breast cancers is associated with a favorable prognosis, with low expression of IGF1R resulting in a more undiferentiated tumor phenotype and worse outcome [[47](#page-11-3), [49\]](#page-11-5).

The  $c.637C>T$  (p.Arg213stop) variant of *TP53* is a protein truncating mutation that lies within the DNA binding domain (Fig. [4](#page-6-0)D) and is reported to be a pathogenic mutation [[50](#page-11-6)]. It has been previously identifed in breast cancer patients [\[51](#page-11-7)]. According to the METABRIC/ TCGA datasets, this variant of TP53 is found in 0,7% of

<span id="page-5-0"></span>



Nucleotide changes are reported only for potential clinically relevant mutations. EGFR, epidermal growth factor receptor; IGF1R, Insulin-like growth factor 1 receptor; TP53, Tumor protein p53 gene; CHD2, Chromodomain Helicase DNA Binding Protein 2; CHEK1, Checkpoint Kinase 1; ERCC2, ERCC excision repair 2, TFIIH core complex helicase subunit; ERCC4, ERCC excision repair 4, endonuclease catalytic subunit; ERCC5, ERCC excision repair 5, endonuclease; ERCC6, ERCC excision repair 6, chromatin remodeling factor; FANCI, FA complementation group I; MSH2, mutS homolog 2; MSH3, mutS homolog 3; MSH6, mutS homolog 6; PMS1 homolog 2, mismatch repair system component; tumor protein p53 binding protein 1; BARD1, BRCA1 associated RING domain 1; PALB2, partner and localizer of BRCA2; EME1, essential meiotic structure-specifc endonuclease 1; TOP3A, DNA topoisomerase III alpha; POLE, DNA polymerase epsilon, catalytic subunit; POLQ, DNA polymerase theta; NER, Nucleotide excision repair; FA, Fanconi anemia; MMR, Mismatch repair; NHEJ, Non-homologous end joining; HR, Homologous recombination; TLS, Translesion DNA synthesis; BER, Base excision eepair; ALT-NHEJ, Alternative-NHEJ; TMEJ, polymerase theta-mediated end-joining

breast cancer cases. Interestingly, the combination of temozolomide and PARP inhibitors treatment increases DNA double-strand breaks and results in augmented cytotoxicity in breast and lung cancer patient-derived tumor organoids bearing the p.Arg213stop variant of *TP53* [\[51\]](#page-11-7).

Among the amplifed genes, we also found chromodomain helicase DNA-binding protein 2 (*CHD2* (Fig. [4](#page-6-0)E and Table [2](#page-5-0)). There is some evidence that CHD2 has a role in cancer. It has been proposed to prevent breast cancer [\[52](#page-11-8)], while divergent evidence highlighted the presence of inactivating mutations in the CHD2 gene that have been associated with increased susceptibility to tumor development [[53\]](#page-11-9). To our knowledge, amplifcation of *CHD2* has not been previously described in breast

cancer patients and its biological relevance remains unexplored. The analysis of the METABRIC/TCGA and datasets revealed that both *CHD2* and *IGF1R* genes are amplifed in 3% of breast cancer patients. Because they lie in proximity on chromosome 15, they are also co-amplifed in 2.3% of cases, independently from TP53 or EGFR alterations (Fig. [4](#page-6-0)F).

The patient also harbors germline variations in several DNA damage repair (DDR) genes (Table [2](#page-5-0)), with the homologous recombination (HR, mutations in 4/21 tested HR-related genes), the mismatch (MMR, mutations in 4/10 tested MMR-related genes) and the nucleotide excision (NER, 4/10 tested NER-related genes) repair systems being the most afected. Genetic susceptibility to breast cancer is known to be determined



<span id="page-6-0"></span>**Fig. 4** Molecular and chromosomal alterations detected in the breast cancer patient. Schematic structural features of **A** EGFR, **B** IGF1R, and **D** p53 proteins as well as mutations observed in the METABRIC and TCGA datasets. The mutations observed in both the METABRIC and TCGA-BRCA datasets and in the patient of this case study are highlighted with lollipop plots and were exported from cBioPortal. Patient's mutations are indicated by an arrow. Data were obtained from cBioPortal. **C**, **E** IGF1R and CHD2 gene amplifcations in the patient relatively to the clinical cohort. **F** *CHD2, EGFR*, *IGF1R*, and *TP53* genomic alterations in the METABRIC and TCGA-BRCA datasets as an OncoPrint plot. The plots were exported from cBioPortal

by common variants along with the occurrence of rare mutations conferring higher disease risks. The latter comprises missense mutations and protein-truncating variants in the following genes: *TP53, BRCA1/2*, *ATM, PALB2*, *BARD1, CHEK2*, and *RAD51* [\[54\]](#page-11-10). Here, we identifed heterozygous germline variants of the *MSH2*, *MSH3*, *MSH6* and *PMS2* genes (Table [2\)](#page-5-0). Of note, the nucleotide variant c.2006-6T>C in the *MSH2* gene (intron variant) has been associated with an increased risk of non-Hodgkin's lymphomas and other hematological malignancies [[55\]](#page-11-11). In addition, the 2006-6T>C variant in *MSH2* has been detected in a male breast cancer patient, though the biological signifcance has not been established yet. The 181\_189dup variant (Ala61\_Pro63dup) of the *MSH3* gene has been previously reported in a patient with breast cancer, though it has been classifed as a polymorphism variant [[56\]](#page-11-12). We also detected the c.116G>A missense mutation in the *MSH6* gene leading to the substitution of a glutamic acid for glycine at position 39 (p.Gly39Glu) of the MSH6 N-terminal region. This variant has been previously described in thyroid cancer patients although the functional outcome of this mutation has been only speculated [\[57](#page-11-13)]. Santos and collaborators [\[57\]](#page-11-13)) have proposed that because the mutation is positioned near two phosphorylation sites (Ser41 and Ser43) located within a nuclear localization sequence and targeted by MAPKs, it is possible that it interferes with the modifcation of these residues, ultimately infuencing the stability, the nuclear import, or the biological function of MSH6. Since glutamate is a negatively charged residue, the authors also speculated that the p.Gly39Glu substitution could alter the ability of MSH6 to bind DNA and, as a result, its DNA repairing capacity. Finally, the *PMS2* c.706-4delT mutation (intron variant) has been observed in a patient diagnosed with Lynch syndrome and in a subject afected by ovarian carcinoma [[58\]](#page-11-14). It is a splice variant that has been classified as benign. The patient also harbors a missense variant c.791A>T in the PALB2 gene (Gln264Arg) and a splicing variant in the BARD1 gene. To our knowledge, these mutations have not been described in other cancer patients and their biological relevance remains unknown.

Since the DNA repair cellular systems are indispensable for the maintenance of the genomic fdelity and for reducing general gene mutations, we also evaluated several biomarkers refecting the mutational status of the patient. We observed a relatively high TMB status in this patient as compared to the clinical cohort, while the MSI status was observed to be non-MSI high for this patient as well as it was stable as most breast cancer patient clinical cohort in general (Fig. [5](#page-8-0)A and [B\)](#page-8-0). We also evaluated chromosomal instability and found that while the fraction genome altered (FGA) and copy number aberration (CNA) scores of the patient lie below or around the lower quartile of the clinical cohort, her copy number heterogeneity (CNH) value is higher than the median of the cohort (Fig. [5](#page-8-0)C). In addition, we observed several copynumber amplifcations in chromosome arms 1q, 6p, 8q, 16p, 19q, 20q (Fig. [5](#page-8-0)D). Copy-number variations at these chromosome arms have been correlated to poor survival of breast cancer patients [\[59](#page-11-15)[–63\]](#page-11-16). TMB represents a predictive biomarker that can be exploited to stratify cancer patients for response to immune checkpoint inhibitor therapies. TMB is indeed believed to be a crucial driver in the generation of immunogenic neopeptides on the tumor cell surface, which can afect the patient response to immune checkpoint inhibitors [[64\]](#page-11-17). Defciency in the MMR components including MLH1, MSH2, MSH6 and PMS2 along with the presence of immune infltrate is also a predictive biomarker in guiding the use of immunotherapy [\[65](#page-11-18), [66\]](#page-11-19). Nevertheless, the analysis of the total number of mutated DDR genes in the breast cancer clinical cohort revealed that the patient harbors less somatic and germline mutations than the other patients (Fig. [5E](#page-8-0)) predicting still functional DNA damage repair systems.

The spectrum of mutations single base substitution categories (SBS), enriched in C>T, C>A and C>G transitions at trinucleotides is associated with two APOBEC3

(apolipoprotein B mRNA editing enzyme catalytic polypeptide 3) associated signatures (SBS2 and SBS13) (Fig.  $5F$ ). The APOBEC3 family of cytidine deaminases provides a defense against viruses by inducing mutations in single-stranded DNA. Of note, APOBEC3 proteins have been associated with increased TMB and genomic instability across diferent tumor types [\[67](#page-11-20)]. Notably, the expression of APOBEC3 proteins is negatively regulated by p53 and TP53 mutations are frequently found in tumors expressing high levels of APOBEC3  $[68]$  $[68]$  $[68]$ . The presence of the p.Arg213stop variant of *TP53* in the patient is therefore consistent with the elevated levels of expression of APOBEC3 transcripts relatively to the clinical cohort (Fig. [5G](#page-8-0)). However, as already reported for other cases of breast cancer  $[69]$ , we did not observe a correlation between the enrichment of the APOBEC3 mutational signature and the number of CNA.

## **Conclusions**

Progress has been recently achieved in understanding the genomic diversity of breast cancer, leading to new genome‐driven classifcation of this tumor type into different subtypes, each associated with diferent clinical outcomes and therapeutic options. Dissecting the gene expression patterns of tumors will substantially help in better understanding breast cancer progression as well as in defining more effective therapeutic strategies. The molecular characterization of this tumor provided several hints indicating poor prognosis with high recurrence probability. The tumor was indeed classified as a claudin-low breast cancer, a subtype of tumors that is generally more aggressive and linked to mesenchymal and stemness features. Deregulation of claudin expression has been indeed implicated in tumor progression, metastasis and chemoresistance in breast cancer.

After surgery, the patient received a first-line chemotherapy treatment which included a combination of adriamycin, cyclophosphamide and paclitaxel, followed by hormone therapy. The patient remained in complete remission so far, but since there are hints for high risk for recurrence, more frequent follow-ups are recommended.

Somatic and germline genetic testing revealed some pathogenic or likely pathogenic variants. We found a pathogenic protein truncating mutation in the *TP53* gene, which is predicted to disrupt its transcriptional activity, and therefore to contribute to chromosomal instability, which might also arise from germline alterations occurring in the DNA repairing genes. The presence of these mutations along with other tumor features suggest the possibility to recommend immunotherapy in case of cancer recurrence. Indeed, the tumor can be classifed as a hot tumor because it showed the presence of an abundant immune infltrate and demonstrated a



<span id="page-8-0"></span>**Fig. 5** Evaluation of chromosomal instability in the tumor. **A** TMB status, **B** MSI score, **C** FGA, CNA, and CNH scores, and **D** copy-number variations at chromosome arms 1q, 6p, 8q, 16p, 19q, 20q, **E** total number of mutated DDR genes in the patient. **F** The APOBEC (Ref 2 and Ref13) mutational signatures are enriched in the patient compared to the clinical cohort. **G** RNA-Seq expression levels of APOBEC3C detected in the patient. The patient (red triangle) is compared to the clinical cohort (blue boxplot)

relatively high TMB, thus presenting a promising target for immune checkpoint inhibitor therapy.

Data presented here also indicate the possibility that the patient might acquire endocrine resistance as a result of IGF1R gene amplifcation and potential activation of the insulin/IGF1 pathway. Indeed, most breast cancer patients progress to endocrine acquired resistance due to the aberrant activation of the insulin/IGF1 signaling pathway.

# **Materials and methods**

# **Collection of samples**

Tumor tissues were globally collected using a standardized protocol, minimizing the ischemia time until freezing in liquid nitrogen. To ensure the quality of the samples, all tissues were hematoxilin- and eosin-stained and subjected to a quality control by a pathologist. Samples needed to be invasive, have a tumor content of  $> = 30\%$  and necrosis  $< = 30\%$ . Normal tissues were processed in parallel and needed to be free of tumor and representative regarding the tumor tissue to be included [[70\]](#page-11-23). Approximately 10 mg of tissue were taken for

nucleic acid extraction. The remaining tissue was subjected to NGS sequencing [\[71–](#page-11-24)[73\]](#page-11-25). To account for tumor heterogeneity, pathological QCs were performed on two sections, before and after taking the analysis material [ $74-76$  $74-76$ ]. The tissues remained frozen during the entire procedure.

### **Immunohistochemical analysis**

Approximately  $1 \times 1 \times 0.5$  cm of tissue was formalinfxed and parafn-embedded (FFPE) [\[77,](#page-11-28) [78](#page-11-29)]. Serial sections were used to evaluate prognostic and predictive biomarkers including ER, PR, Ki67, and HER2 through immunohistochemistry [[79](#page-11-30)[–81](#page-11-31)]. Briefy, sections were stained using the automated Leica Bond IHC platform (Leica Biosystems, Deer Park, IL). After antigen retrieval, 4-μm thick sections were incubated with the following primary monoclonal antibodies: mouse monoclonal anti-ER (clone 6F11; Leica Biosystems), mouse monoclonal anti-PR (clone 16; Leica Biosystems), mouse monoclonal anti-Ki67 (clone MM1; Leica Biosystems) and mouse monoclonal anti-HER2 (clone CB11, Leica Biosystems) [[82\]](#page-11-32). Reactions were revealed using BOND-PRIME Polymer DAB Detection System (Leica Biosystems, Deer Park, IL). Immunohistochemistry was evaluated by two blind pathologists in a blinded analysis manner.

### **Nucleic acid extraction and quality assessment**

Frozen tissue slices were mixed with β-mercaptoethanol containing sample bufer and homogenized using the BeadBug system. DNA and RNA were extracted in parallel from the same sample using the Qiagen AllPrep Universal Kit according to the manufacturer's instructions. DNA and RNA concentration were quantifed using the Qubit fuorometer with the Qubit dsDNA BR assay or Qubit RNA BR assay respectively. DNA and RNA quality were assessed using the Agilent Tapestation with the Agilent Genomic DNA kit or Agilent High-Sensitivity RNA ScreenTape kit respectively. RNAs need to have a  $RIN > 4$  or a  $DV200 > 60$  to be selected for library preparation.

# **Library preparation and NGS sequencing**

Libraries for whole genome sequencing (WGS) were prepared using the PCR-free KAPA Hyper Prep Kit (Roche). For whole transcriptome sequencing, RNA samples were depleted of the ribosomal RNA using the Ribo Zero Kit (Illumina) and library preparation was performed using the TruSeq Stranded Total RNA Kit (Qiagen). All library preparation kits were used according to manufacturer's instructions. Sequencing was performed on a NovaSeq6000 system (Illumina) with paired-end 150 bp sequencing. For WGS, average coverage for tumor

samples  $was = 60X$  and  $> =30X$  for normal samples with a total genomic coverage of  $> = 95\%$ .

Whole transcriptome sequencing datasets have  $> =100$ million total reads with less than 20% of ribosomal origin  $and$   $>$  = 20 million reads mapping to mRNAs according to Ensembl reference. Ribosomal depletion was performed to remove nuclear rRNA and mt-rRNA.

# **NGS data processing**

NGS data was aligned against the GRCh38 genome assembly. Identifcation and annotation of short genomic variations in normal sample was done using Haplotype Caller (genome analysis toolkit; GATK) [[83](#page-11-33)]. WGS germline variations were called using SnpEf [[84](#page-11-34)] and somatic variations were called using a consensus of Mutect2, Strelka [[85\]](#page-11-35), Varscan [\[86](#page-11-36)] and Somatic Sniper [[87\]](#page-12-0). Structural variations were called using R packages TitanCNA [\[88](#page-12-1)] and DellyCNV [[89](#page-12-2)]. RNA-Seq counts were normalized to transcripts per million (TPM).

#### **Bioinformatical analyses**

Mutational signatures were calculated using the R package MutationalPatterns [\[90](#page-12-3)]. MSI classifcation was done using the R package MSIseq  $[91]$ . PAM50 subtyping as well as risk scores were investigated using the R package genefu [\[92](#page-12-5)]. TMB was calculated as the number of nonsynonymous mutations of protein coding genes divided by exome size in Megabases. Pathway analyses were done using R package progeny [\[93\]](#page-12-6) and immune deconvolution was measured using R package MCPCounter [[94\]](#page-12-7). A combined TCGA-BRCA and METABRIC cohort (*n*=3593) was analysed to explore the mutational status of the cohort relatively to the patient.

#### **Author contributions**

F.B., C.O.B., and E.C. conceived the project, F.B., E.C., J.B., A.M. and G.M. wrote the manuscript, S.G., A.S., L.C., M.S., and V.R. prepared fgures. All the Authors have reviewed and approved this submitted version.

#### **Funding**

This work has been supported primarily by the MUR-PNRR M4C2I1.3 PE6 project PE00000019 Heal Italia (to EC, GM, FB, AM, SG) and partially by Ministry of Health—HUB LIFE SCIENCE – Advanced Diagnostic- Italian network of excellence for advanced diagnosis (INNOVA) (PNC-E3-2022-23683266) to AM, GM, EC, FB. The Research leading to these results has received funding from Associazione Italiana per la Ricerca contro il Cancro (AIRC) to GM (IG#2022 ID 27366; 2023-2027), to EC (IG#22,206; 2019-2023) and to FB (IG#2019 – 23232). Fondazione Luigi Maria Monti IDI-IRCCS (R.C. to EC). AS was supported by REACT-EU PON "Ricerca e Innovazione 2014-2020" (DM 1062/2021).

#### **Availability of data and materials**

Not applicable.

#### **Declarations**

#### **Ethics approval and consent to participate**

All the procedures carried out in the research with participation of humans were in compliance with the ethical standards of the institutional and/or

national ethics committee and with the Helsinki Declaration of 1964 and its subsequent changes or with comparable ethics standards. Informed voluntary consent was obtained from every participant of the study: approval on 09-2019, number 96-19.

#### **Competing interests**

The authors declare no confict of interest.

Received: 23 April 2024 Accepted: 20 May 2024 Published online: 16 August 2024

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