# Cognitive Satellite Communications Spectrum Sensing based on Higher Order Moments

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Abstract—This letter proposes a novel spectrum sensing method for cognitive satellite communications for detecting primary user signals. First, the useful signal power is estimated from the noisy received signal by combining the second- and fourth-order moments of data under investigation. Second, the possible presence of the signal of interest is stated by exploiting the aforementioned useful power as the decision variable. Computer simulations, substantiated by theoretical results, are carried out to evaluate the performance of the method in comparison with recently published techniques. The results evidence the efficiency of the presented technique for cognitive satellite communications.

*Index Terms*—Cognitive satellite communications, spectrum sensing, hypothesis testing, higher order moments, cyclostationary, noise uncertainty.

#### I. INTRODUCTION

Satellite communications have experienced an exponential growth in recent years. Multiple satellites are used for communication, and satellite-terrestrial collaborative links are gaining more and more importance [1]-[3]. The current satellite spectrum allocation is fixed and, as the increasing of both users' demand and the number of communication satellites, spectrum resource is becoming progressively scarce [3]. Cognitive radio (CR) has emerged as a promising technique in wireless communications, by allowing secondary users (SU) to opportunistically access the available spectrum resources without affecting the primary user (PU) networks [4]. Recently, it has been noted that the CR technology can be well used also in satellite communication systems [5]-[7]. As a key process for CR, spectrum sensing aims at identifying the vacant (i.e. unused) frequency channels, thus being the solution for the so-called spectrum scarcity problem. In satellite systems, the scenario of frequency sharing is represented by the coexistence between geostationary (GEO) and non-geostationary (NGEO) satellite networks. In particular, the NGEO system (i.e. the SU) should not incur harmful interference to the GEO system (i.e. the PU) according to the policy of the Radio Regulations [8]. The ITU database provides the position of the GEO satellite but there is still uncertainty about its status (i.e. whether the GEO is present or absent). Hence, efficient spectrum sensing approaches are needed for discriminating between the presence or absence of the GEO signal. As for cognitive satellite communications, reference [9] proposes a dynamic

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spectrum access (DSA) decision framework, while the paper in [10] proposes a space segment design based on a spectrumsensing-based cooperative scenario. Reference [11] proposes the concept of weighted cooperative spectrum sensing, which can better cope with the interference to the GEO caused by the NGEO satellite. More recently, the authors in [12] utilize hypothesis testing and maximum a-posteriori to detect NGEO satellite signals which impact GEO systems. In addition, they compare the performances of their method to the ones of the conventional spectrum sensing approach. It is well-known that this conventional approach is represented by the energy detector (ED) [13]. However, the ED drastically reduces its efficiency at low signal-to-noise ratio (SNR) regimes and in presence of noise uncertainty as happens in operative CR satellite scenarios [14]. Recently, higher order moments (HOM)based spectrum sensing techniques have been proposed to overcome the weaknesses of the ED under noise uncertainty and, at the same time, without dramatically increasing the computational complexity of the system [15]. Moreover, many other conventional spectrum sensing algorithms are based on cyclostationary approaches for signal detection [16], [17].

In this letter, we propose the first (to the best of authors' knowledge) HOM-based spectrum sensing method for cognitive satellite communications, allowing fast and reliable detection of the GEO (PU) signal. The rationale of our method is to exploit the intrinsic cyclostationary property of the involved signals as well as the second- and fourth-order moments of the received (GEO plus Gaussian noise) signal to properly estimate the power of (only) the useful signal, i.e., the one associated with the PU. First, the GEO signal power is estimated as a linear combination of the secondand fourth-order moment of the received samples, and then it is used as the decision variable to discriminate between the presence or absence of the radio spectral transmission opportunity (i.e. the vacant frequency channel). The theoretical results, substantiated by computer simulations, show that our method can offer better performance than the method in [12] and the conventional ED in the presence of noise uncertainty, with a negligible increase of the computational complexity.

The paper is organized as follows. In Section II the GEO satellite detection problem is formulated and the proposed method is described together its counterparts. In Section III the performance of the proposed algorithm are assessed for scenarios of practical interest. Finally, Section IV concludes the paper and give suggestions for possible future works.

*Notation:* We use boldface lower case for vectors a. Moreover,  $\Re\{\cdot\}$ ,  $|\cdot|$ , and  $(\cdot)^*$  are the real part, modulus and complex conjugate of the argument, respectively. The symbol  $\|\cdot\|$ 

denotes the Euclidean norm, whereas  $(\cdot)^{\dagger}$  is the transpose conjugate operator. The acronym i.i.d. means independent and identical distributed.  $\mathbb{E}[\cdot]$  stands for statistical expectation whereas the letter  $j=\sqrt{-1}$  indicates the imaginary unit. We write  $x\sim \mathbb{N}(0,\sigma^2)$  and  $x\sim \mathbb{C}\mathbb{N}(0,\sigma^2)$  if x is, respectively, a real or complex zero-mean white Gaussian random variable with variance  $\sigma^2$ . Finally,  $x\sim \mathcal{U}(0,2\pi)$  means that x obeys the uniform distribution within the interval  $[0,2\pi]$ .

#### II. PROBLEM FORMULATION

This section is aimed at formalizing the problem of spectrum sensing in satellite systems when the GEO satellite is disturbed by the transmissions of the NGEO that perform sensing to reveal its presence. The NGEO satellite senses the environment during the downlink and uplink phases. Since these two phases differ only in the values of the involved parameters, in the rest of this paper we focus only on the downlink stage. This latter scenario is pictorially described in Figure 1, where it is highlighted that both the GEO and NGEO satellites transmit their data toward the corresponding Earth station. Moreover, the sensing NGEO Earth station captures the signals transmitted by the GEO satellite together with the one sent by the NGEO, and transfers the former to the NGEO Earth station that directly communicates with its satellite.

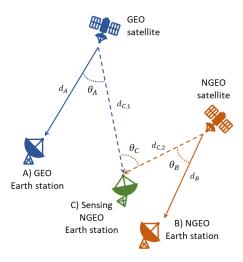


Figure 1. Pictorial representation of the considered satellite scenario.

In this letter, we consider the same model introduced in [12], which assumes that the GEO satellite can transmit with one of L possible power levels  $P_{gsL} > \ldots > P_{gs1} > 0$  or not. Moreover, it is worth to underline that, in the satellite communication context, it can be hypothesized that the satellite uses a fixed power level in the transmission period [12]. Then, it is also assumed that the sensing station points toward the GEO satellite and that is in its mainbeam (otherwise it is sufficient to apply a scaling factor to the received power to account for beampattern shape losses). Consequently, indicating with the  $x_{gsk}$  the k-th signal received at the sensing NGEO Earth station, the problem under investigation, i.e. discriminating between the absence (null hypothesis) versus the presence

(alternative hypothesis) of a GEO satellite operating with a power level  $P_{qsl}, l=1,\ldots,L$ , can be formalized as follows:

$$\begin{cases} H_0: x_{gsk} = n_k \\ H_1^g: x_{gsk} = \sqrt{P_{gsl}} \sqrt{h_{gs}} e^{j\phi} s_{gsk} + n_k \end{cases}, \quad k = 1, \dots, K$$
(1)

where  $n_k \sim \mathcal{CN}(0, \sigma^2)$  is the additive noise contribution,  $\phi \sim \mathcal{U}(0, 2\pi)$  is the channel propagating phase that is not relevant to the considered sensing problem which is essentially based on the energy exploitation, and  $s_{gsk} \sim \mathcal{CN}(0, 1)$  is the k-th transmitted symbol of the GEO satellite. Moreover, the term  $h_{gs}$  is a scaling factor that can be derived from the following link budget equation [12]:

$$h_{gs} = G_{C,\text{max}}G_{\text{PU}}(\theta_A) \left(\frac{c}{4\pi f d_{C,1}}\right)^2 10^{A_g/10} 10^{A_c/10},$$
 (2)

where

- G<sub>C,max</sub> is the maximum gain of the sensing NGEO station receiving antenna;
- G<sub>PU</sub>(θ<sub>A</sub>) is the gain of the PU (i.e., the GEO satellite) in the direction θ<sub>A</sub>;
- $c = 3 \times 10^8$  m/s is the speed of light;
- f is the satellite operating frequency;
- $d_{C,1}$  is the range from the GEO satellite to the sensing NGEO station;
- $A_q$  is the gaseous absorption factor;
- $A_c$  is the cloud or fog absorption factor;

As to the attenuation factors,  $A_g$  and  $A_c$ , the equations that rule them are given, respectively, by [18], [19]:

$$A_q = A_w + A_o$$
 and  $A_c = K_l M$ ,

where  $A_g$  and  $A_c$  are directly obtained in dB values. In addition, the quantities involved in the previous equations are

- $A_w$ : specific attenuation due to dry air;
- $A_o$ : specific attenuation due to water vapor;
- $K_l$ : specific attenuation coefficient for clouds;
- M: liquid water density in the cloud or fog.

Now, after all these premises it can be claimed that  $x_{gsk}$  is also a circularly symmetric Gaussian random variable, viz.  $x_{gsk} \sim \mathcal{CN}(0, h_{gs}P_{gsl} + \sigma_n^2)$  under  $H_l^g$  hypothesis and  $x_{gsk} \sim \mathcal{CN}(0, \sigma_n^2)$  under  $H_0$ . Differently from [12], in this letter, we reasonably assume that the sensing NGEO Earth station is detecting the signal from the GEO satellite versus noise only, and then it transmits this information to the NGEO satellite. If the GEO system is not operating, the NGEO satellite can opportunistically access in that licensed band with its full power; conversely, if the GEO system is in operative mode, the NGEO satellite cannot occupy that band.

### A. Proposed Solution

To take a decision about the presence or absence of the GEO satellite signal in the band under test, problem (1) can be recast in a more compact matrix form, i.e.,

$$\begin{cases}
H_0: \mathbf{r} = \mathbf{n} \\
H_1: \mathbf{r} = \mathbf{s} + \mathbf{n}
\end{cases}$$
(3)

where  $n \sim \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{I})$  is the K-dimensional column vector accounting for noise contributions, whereas s is the K-

dimensional column vector comprising the K samples of the useful signal, viz.  $s = \sqrt{P_{gsl}}\sqrt{h_{gs}}e^{j\phi}[s_{gs1},\ldots,s_{gsK}]^T$ . More precisely, following the leads of [14], the idea is to exploit the second- and fourth-order moments to properly estimate the power of the transmitted GEO signal. The application of such a method to the satellite communications context represents the main novel contribution of this letter. Once the signal power is estimated, it can be exploited as decision statistic to indicate the presence of the useful signal for the data under test. Therefore, the second-order moment of the received signal can be formally defined as

$$\Upsilon = \mathbb{E}\left[\|s+n\|^2\right] = \mathbb{E}\left[(s+n)^{\dagger}(s+n)\right] 
= \mathbb{E}\left[s^{\dagger}s + s^{\dagger}n + n^{\dagger}s + n^{\dagger}n\right] = P_s + \sigma_n^2,$$
(4)

where  $P_s$  is the useful signal power. Note that, the last equality in (4) directly follows from the uncorrelation between the useful signal and the noise component, i.e.:

$$\mathbb{E}\left[\mathbf{s}^{\dagger}\mathbf{n}\right] = \mathbb{E}\left[\mathbf{n}^{\dagger}\mathbf{s}\right] = 0. \tag{5}$$

Now, an estimate of the second-order moment, say  $\hat{\Upsilon}$ , can be obtained as follows

$$\hat{\Upsilon} = \frac{1}{K} r^{\dagger} r = \frac{1}{K} \sum_{k=1}^{K} |r_k|^2.$$
 (6)

Similarly, the fourth-order moment  $\Psi$  can be defined as

$$\Psi = \mathbb{E}\left[\left\|s+n\right\|^{4}\right] = \mathbb{E}\left[\left(\left(s+n\right)^{\dagger}\left(s+n\right)\right)^{2}\right] \\
= \mathbb{E}\left[\left(s^{\dagger}s+s^{\dagger}n+n^{\dagger}s+n^{\dagger}n\right)^{2}\right] \\
= \mathbb{E}\left[\left(s^{\dagger}s\right)^{2}+\left(n^{\dagger}n\right)^{2}+4s^{\dagger}sn^{\dagger}n+\left(s^{\dagger}n\right)^{2}+\left(n^{\dagger}s\right)^{2} \\
+2s^{\dagger}ss^{\dagger}n+2s^{\dagger}sn^{\dagger}s+2n^{\dagger}ns^{\dagger}n+2n^{\dagger}nn^{\dagger}s\right] \\
= \mathbb{E}\left[\left(s^{\dagger}s\right)^{2}+\left(n^{\dagger}n\right)^{2}+4s^{\dagger}sn^{\dagger}n\right] \\
= \mathbb{E}\left[\left(s^{\dagger}s\right)^{2}\right]+\mathbb{E}\left[\left(n^{\dagger}n\right)^{2}\right]+4\mathbb{E}\left[s^{\dagger}s\right]\mathbb{E}\left[n^{\dagger}n\right], \tag{7}$$

since s and n are independent and hence uncorrelated (independently from their statistical distributions), and having assumed that the real and imaginary components of noise and signal are orthogonal, that reflects into the following relationships  $\mathbb{E}\left[\left(s^{\dagger}n\right)^{2}\right]=0$ ,  $\mathbb{E}\left[\left(n^{\dagger}s\right)^{2}\right]=0$ ,  $\mathbb{E}\left[s^{\dagger}ss^{\dagger}n\right]=0$ ,  $\mathbb{E}\left[s^{\dagger}sn^{\dagger}s\right]=0$ ,  $\mathbb{E}\left[n^{\dagger}ns^{\dagger}n\right]=0$ , and  $\mathbb{E}\left[n^{\dagger}nn^{\dagger}s\right]=0$ . Now, introducing the kurtosis of signal and noise, viz.  $\xi_{s}$  and  $\xi_{n}$ , defined as the ratio between their fourth-order and the squared second-order moments

$$\xi_s = rac{\mathbb{E}\left[\left(oldsymbol{s}^\daggeroldsymbol{s}
ight)^2
ight]}{\mathbb{E}\left[oldsymbol{s}^\daggeroldsymbol{s}
ight]^2} \quad ext{and} \quad \xi_n = rac{\mathbb{E}\left[\left(oldsymbol{n}^\daggeroldsymbol{n}
ight)^2
ight]}{\mathbb{E}\left[oldsymbol{n}^\daggeroldsymbol{n}
ight]^2},$$

and assuming  $\xi_s = 1$  (signal with constant envelop if sampled at symbol times) and  $\xi_n = 2$  (complex variable) [14], (7) can be recast as

$$\Psi = \mathbb{E} \left[ s^{\dagger} s \right]^{2} + \mathbb{E} \left[ n^{\dagger} n \right]^{2} + 4 \mathbb{E} \left[ s^{\dagger} s \right] \mathbb{E} \left[ n^{\dagger} n \right]$$

$$= P_{s}^{4} + 2 \sigma_{n}^{4} + 4 P_{s} \sigma_{n}^{2}. \tag{8}$$

As before, a possible estimator for the fourth-order moment

$$\hat{\Psi} = \frac{1}{K} (\mathbf{r}^{\dagger} \mathbf{r})^2 = \frac{1}{K} \sum_{k=1}^{K} |r_k|^4.$$
 (9)

Finally, exploiting both (6) and (9), the decision variable for the hypothesis testing (3) is given by the estimates of the squared signal power, namely

$$\Xi = 2\hat{\Upsilon}^2 - \hat{\Psi} = 2\left(\frac{1}{K}\sum_{k=1}^K |r_k|^2\right)^2 - \frac{1}{K}\sum_{k=1}^K |r_k|^4, \quad (10)$$

and the corresponding test is

$$\Xi \stackrel{H_1}{\underset{H_0}{>}} \eta, \tag{11}$$

with  $\eta$  a suitable detection threshold. It is now worth to note that the testing variable  $\Xi$  is asymptotically Gaussian (i.e.,  $K \to +\infty$ ) since the first term is the square of a zero-mean asymptotically Gaussian variable and the second is the sum of random variables that is again an asymptotically Gaussian variable for the Khintchine's Strong Law of Large Numbers [20]. From the above observations, it could be derived that  $\Xi$  is again asymptotically Gaussian distributed with mean equal to zero or  $P_s^2$  under  $H_0$  and  $H_1$  hypothesis, respectively. This leads to conclude that the performance in terms of detection probability  $P_D$  could be approximately computed, for large K, from a theoretical point of view. More precisely, the threshold is directly devised under  $H_0$  hypothesis by fixing the desired false alarm probability  $P_{FA}$ , namely [21]

$$\eta = \mathbb{E}\left[\Xi|H_0\right] + \frac{1}{\sqrt{2\text{var}\left[\Xi|H_0\right]}} \text{erfc}^{-1}\left(2P_{FA}\right), \tag{12}$$

with  $\operatorname{erfc}^{-1}(\cdot)$  the inverse of complementary error function. Analogously, once the threshold  $\eta$  is obtained, the theoretical  $P_D$ , derived under  $H_1$  hypothesis, is given by

$$P_D = \frac{1}{2} \operatorname{erfc} \left( \frac{\eta - \mathbb{E} \left[ \Xi | H_1 \right]}{\sqrt{2 \operatorname{var} \left[ \Xi | H_1 \right]}} \right). \tag{13}$$

# B. Competitors

In this subsection two alternative algorithms available in the open literature for GEO satellite detection are presented:

- 1) Energy detector (ED) [22];
- 2) Spectrum sensing and recognition (SSR) method [12].

The ED is the simplest and widely used method for spectrum sensing purposes. The considered decision statistic is the energy contained in the received signal which is in turn obtained from its acquired samples as described in (6).

The second method, the SSR, is derived in [12] specifically for the satellite context and uses a decision statistic obtained by a Gaussian mixture model, i.e.,

$$\nu(\mathbf{r}) = \frac{ZB^K}{TA^K} \exp\left(\frac{(A-B)K\hat{\Upsilon}}{AB}\right),\tag{14}$$

where  $A = \sum_{l=1}^L \frac{\Pr(H_l^g)}{Z} (P_{gsl}h_{gs} + \sigma_n^2), \ B = \sum_{p=0}^P \frac{\Pr(H_p^n)\Pr(H_0^g)}{T} (P_{nsp}h_{ns} + \sigma_n^2), \ Z = \sum_{l=1}^L \Pr(H_l^g), \ T = \sum_{p=0}^P \Pr(H_p^n) (H_0^g),$  with  $\Pr(H_l^g)$  the a-priori probability of the GEO satellite to be in state  $H_l^g, \ l = 1, \ldots, L,$  and  $\Pr(H_p^n)$  the a-priori probability of the interfering NGEO satellite to be in state  $H_p^n, \ p = 0, 1, \ldots, P.$ 

As already said, in the following analyses we consider the situation in which the interfering NGEO satellite transmits only when the GEO counterpart is not transmitting, therefore, we focus on the noise only scenario.

#### III. PERFORMANCE ASSESSMENT

This section is aimed at analyzing the performance of the proposed algorithm, labeled in the following with FOM (Fourth Order based Method), for spectrum sensing in satellite communication systems. The analyses are conducted utilizing as performance metric the  $P_D$  which is estimated resorting to standard Monte Carlo simulations with 10<sup>4</sup> independent trials. Moreover, the detection threshold has been theoretically set, exploiting (12), so as to ensure  $P_{FA} = 10^{-2}$ , using the rule of performs  $100/P_{FA}$  independent runs. The considered simulation settings assume the parameter values synthetically described in Table I. Moreover, with reference to the downlink scenario described in [12], the GEO satellite is assumed to possibly transmit with a power chosen in a preassigned set composed of three levels, viz.,  $P_{gs} \in [6, 12, 20]$  dBW, with a corresponding a-priori probability equal to  $Pr(P_{qs1}) = 0.3$ ,  $Pr(P_{gs2}) = 0.2$ ,  $Pr(P_{gs3}) = 0.1$ , whereas it does not transmit with a-priori probability  $Pr(P_{qs0}) = 0.4$ .

Table I SIMULATION PARAMETERS

parameter	value
operating frequency	18.48 GHz
NGEO station antenna gain	50 dBi
GEO satellite height	35678 km
NGEO satellite height	1200 km
$A_g$	2 dB
$A_c$	1 dB

Figure 2 shows  $P_D$  values as a function of the SNR for a specific transmit power level and different number of samples. Precisely, it is assumed  $P_{gs}=20$  dB, whereas subplots assume K=100 and K=1000 samples, respectively. First of all, it is worth to underline that, in the figure, FOM denotes the proposed test numerically evaluated with standard Monte Carlo simulations, whereas FOM-TH indicates the one obtained with the theoretical expressions given in (12) and (13). Since, they almost share the same performance, in the subsequent study cases, we concentrate on the former. In addition, from the inspection of the curves, as expected, both ED and FOM are capable to reach better and better  $P_D$  values as the number of available samples increases. Conversely, the SSR tends to

maintain almost the same detection capabilities. However, it is necessary to stress here the fact that the ED assumes the perfect knowledge of noise variance. Of course, this represent a strong limitation for its practical implementation leading to severe performance losses due to the mismatch with the actual value. Therefore, in the next analysis, the ED that assumes the perfect knowledge of the noise power level is referred to as ideal ED and used as performance benchmark, whereas with ED it is indicated its realistic version.

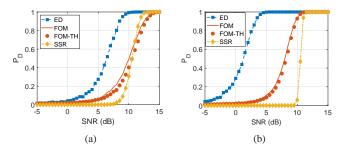


Figure 2.  $P_D$  versus SNR for  $P_{gs}=20$  dB. Subplots refer to a) K=100 and b) K=1000 samples. Thresholds are set to ensure nominal  $P_{FA}=10^{-2}$ .

The next analyses concentrate on evaluating the detection capabilities of the considered detectors in the situation in which the actual disturbance variance, say  $\sigma_a^2$ , varies within a specific interval, namely we assume  $\sigma_a^2 \sim \mathcal{U}\left(\sigma_n^2/\rho, \rho\sigma_n^2\right)$ , with  $\rho > 1$  a parameter associated with the size of the uncertainty [23]. It is important to recall that, given a specific value of noise uncertainty  $\rho$ , there is a minimum SNR (called SNR wall) under which the PU cannot be reliably detected. The results of these additional tests are reported in Figure 3 where, again,  $P_D$  is represented versus the SNR for a transmit power  $P_{gs} = 20 \text{ dB}$  and K = 1000 number of independent samples. As to the parameter  $\rho$ , three different simulation settings are studied, viz.  $\rho = 1, 3, 6$  dB, respectively. The curves highlight the robustness of the proposed algorithm with respect to the variability of the disturbance variance, in fact, the FOM is capable of ensuring the best performance for the case of  $\rho = 3$  and  $\rho = 6$ , where the ED shows several performance degradations.

To conclude the study of the proposed detector for satellite spectrum sensing, we consider the mean detection time (MDT) required for declaring a true detection of the GEO signal. In particular, the MDT is defined as the time or, equivalently the number of samples, needed on average to declare a correct detection, and is formally defined as [21]

$$MDT = (K + P_{FA}T_p) \frac{2 - P_D}{2P_D},$$
 (15)

where  $T_p$  is the penalty time, namely the time that is needed by the system to recover from a wrong decision. Accordingly, in Figure 4 we report the MDT gain of FOM over ED and SSR, assuming the same K,  $P_{FA}$ , and  $T_p$  values in the scenario of Figure 3(b). From a visual inspection of the curves, it is evident that the proposed method can achieve a faster detection of the GEO satellite than its available counterparts. As a matter of fact the MDT gain of our method with respect to ED and

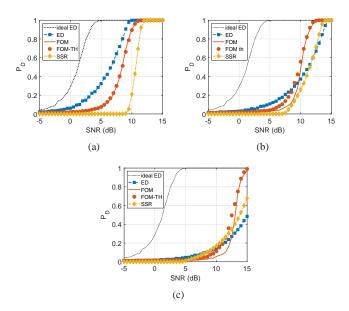


Figure 3.  $P_D$  versus SNR for  $P_{gs}=20$  dB and K=1000 samples. Subplots refer to a)  $\rho=1$  dB, b)  $\rho=3$  dB, and c)  $\rho=6$  dB. Thresholds are set to ensure nominal  $P_{FA}=10^{-2}$ .

SSR is greater than 1 for the SNRs associated with  ${\cal P}_D$  values of interest.

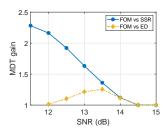


Figure 4. MDT gain (viz., MDT<sub>ED</sub>/MDT<sub>FOM</sub> and MDT<sub>SSR</sub>/MDT<sub>FOM</sub>) versus SNR for  $P_{qs}=20$  dB, K=1000 samples, and  $\rho=3$  dB.

# IV. CONCLUSIONS

This letter has devised a novel spectrum sensing technique based on higher order moments for cognitive satellite communications. The power of the PU (i.e. GEO) signal is first estimated as a linear combination of the second- and fourth-order moments of the received noisy signal, and then used as the metric for PU detection. The performance of the proposed technique is evaluated by theoretical analysis and computer simulations. The results have shown that the proposed method is characterized by higher detection probabilities and faster sensing time than conventional detectors, thus proving to be very effective for cognitive satellite communications. Possible future research tracks could consist in introducing more sophisticated system models also in the context of satellite communications.

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