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Abstract: Rising atmospheric CO<sub>2</sub> is causing a progressive decrease of seawater pH, termed ocean acidification. Predicting its impact on marine invertebrate reproduction is essential to anticipate the consequences of future climate change on species fitness and survival. Ocean acidification may affect reproductive fitness either in terms of gamete or progeny quality threatening species survival. Despite an increasing number of studies focusing on the effects of ocean acidification on the early life history of marine organisms, very few have investigated the effects on marine invertebrate gamete quality. In this study, we set up two experimental approaches simulating the ocean conditions predicted for the end of this century, in situ transplant experiments at a naturally acidified volcanic vent area along the Ischia island coast and microcosm experiments, to evaluate the short-term effects of the predicted near-future levels of ocean acidification on sperm quality of the ascidian *Ciona robusta* after parental exposure. In the first days of exposure to acidified conditions, we detected alteration of sperm motility, morphology and physiology followed by a rapid recovery of physiological conditions that provides a new evidence of resilience of ascidian spermatozoa in response to ocean acidification. Overall, the toleration of adverse conditions opens a new scenario on the endangered marine species capacity to continue to reproduce and persist in changing oceans.

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To the Editor of Environment International

Dear Prof. Adrian Covaci,

Please find attached the research article “Ocean acidification impact on marine invertebrate spermatozoa: new evidence for stress resilience” by Alessandra Gallo, Raffaele Boni, Maria Cristina Buia, Vincenzo Monfrecola, Maria Consiglia Esposito and myself, for consideration in Environment International.

Ocean acidification is a matter of increasing concern and this paper represents an absolute novelty in the climate change scenario. In fact, in order to predict the impact of a future acidified marine environment on marine invertebrate gamete quality that underlies reproductive success, we set up two different experimental approaches: microcosm and *in situ* transplant. In the latter, we took the unique opportunity to utilize a naturally acidified site that is among the few sites all over the world with these characteristics. The present study is the first that evaluates the impact of acidified seawater on that male reproductive physiology of marine invertebrate demonstrating resilience in spermatozoa that has never been described to date. Furthermore, we evidenced that, differently from many classes of environmental stressors, seawater acidification impact on sperm quality is not based on a mechanism of action involving oxidative stress.

For these reasons, we believe that this paper represents a significant advance in the context of the interface between biological systems and global changes.

The MS has not been previously published and has not been submitted for publication elsewhere while under consideration.

On the behalf of all Authors, I declare no conflict of interest.

Thank you in advance for your attention to our manuscript.

Sincerely yours,

Elisabetta Tosti

**Ocean acidification impact on marine invertebrate spermatozoa: new evidence for stress  
resilience**

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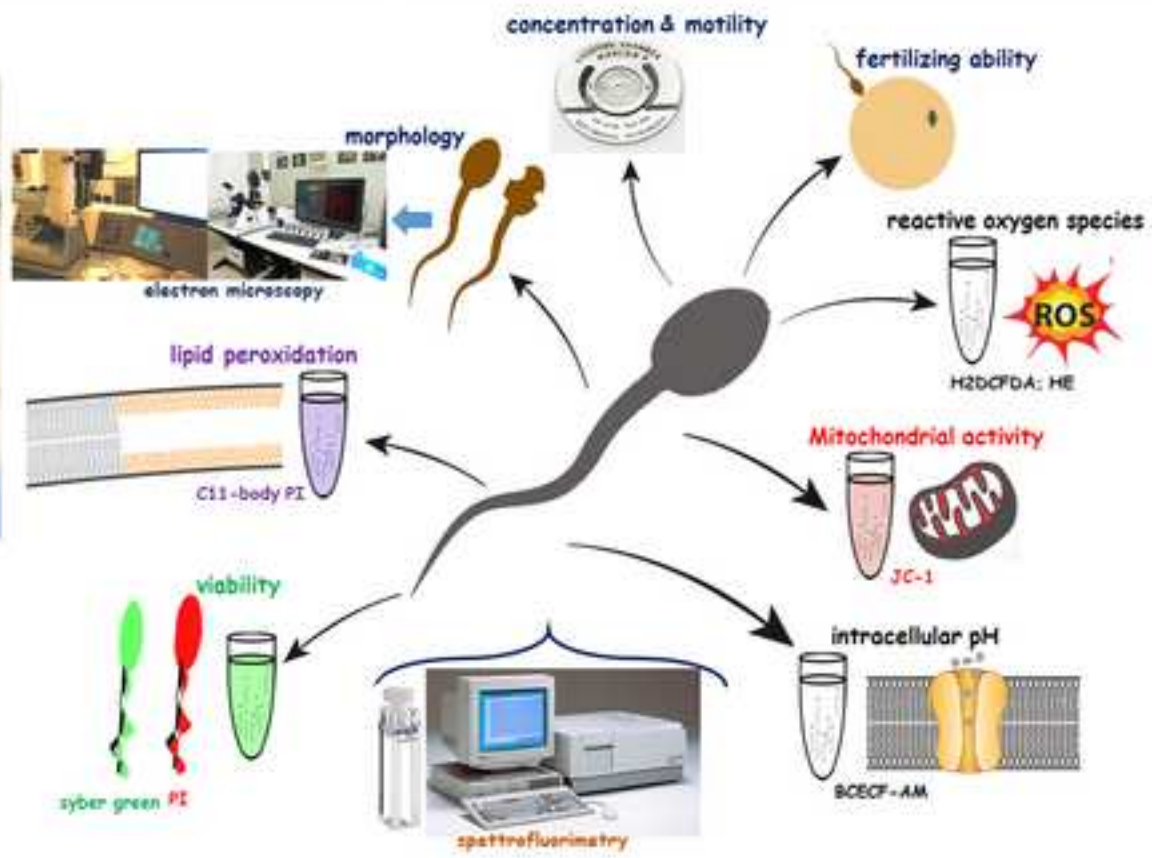
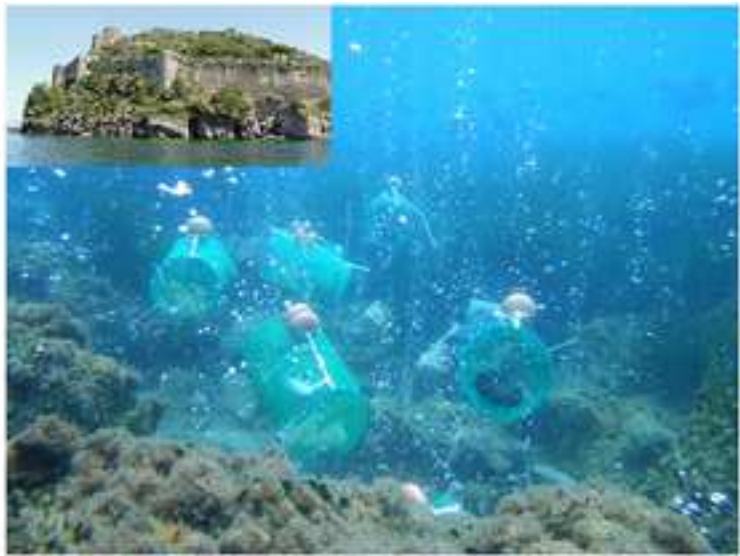
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**Keywords:** ascidian, CO<sub>2</sub> vents, fertilization, microcosm, ocean acidification, offspring quality,  
spermatozoon, sperm quality

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1. Impact of ocean acidification on sperm quality of the ascidian *Ciona robusta* was investigated.
2. Two experimental approaches were set up to simulate the ocean conditions predicted for the end of this century.
3. Alteration of sperm motility, morphology and physiology was detected in short-term exposure.
4. A rapid recovery of physiological conditions was observed within one week.
5. New evidence of resilience in ascidian spermatozoa in response to ocean acidification.

1 **Ocean acidification impact on marine invertebrate spermatozoa: new evidence for stress**  
2 **resilience**

3

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19 **Declarations of interest: none.**

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24 **ABSTRACT**

25 Rising atmospheric CO<sub>2</sub> is causing a progressive decrease of seawater pH, termed ocean  
26 acidification. Predicting its impact on marine invertebrate reproduction is essential to  
27 anticipate the consequences of future climate change on species fitness and survival. Ocean  
28 acidification may affect reproductive fitness either in terms of gamete or progeny quality  
29 threatening species survival. Despite an increasing number of studies focusing on the effects of  
30 ocean acidification on the early life history of marine organisms, very few have investigated  
31 the effects on marine invertebrate gamete quality. In this study, we set up two experimental  
32 approaches simulating the ocean conditions predicted for the end of this century, *in situ*  
33 transplant experiments at a naturally acidified volcanic vent area along the Ischia island coast  
34 and microcosm experiments, to evaluate the short-term effects of the predicted near-future  
35 levels of ocean acidification on sperm quality of the ascidian *Ciona robusta* after parental  
36 exposure. In the first days of exposure to acidified conditions, we detected alteration of sperm  
37 motility, morphology and physiology followed by a rapid recovery of physiological  
38 conditions that provides a new evidence of resilience of ascidian spermatozoa in response to  
39 ocean acidification. Overall, the toleration of adverse conditions opens a new scenario on the  
40 endangered marine species capacity to continue to reproduce and persist in changing oceans.

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43 **Keywords:** ascidian, fertilization, microcosm, ocean acidification, offspring quality, sperm  
44 quality

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49        **1. Introduction**

50    The increasing atmospheric concentration of carbon dioxide (CO<sub>2</sub>), resulting mainly from  
51    human activities, are concurrently driving fundamental changes in ocean chemistry and a  
52    lower of seawater pH, known as ocean acidification (OA) (Guinotte and Fabry, 2008). Over  
53    the last two centuries, the surface water pH has decreased of 0.1 unit and estimates based on  
54    the Intergovernmental Panel on Climate Change (IPCC) suggest that it will drop from a pre-  
55    industrial value of about 8.2 to about 7.8 by the end of this century (Caldeira and Wickett,  
56    2003). This is creating critical concern in the scientific community due to the possible  
57    negative impact exerted by OA on the organisms living and reproducing into marine  
58    environment (Gallo and Tosti, 2016). The most well-known impact of a lower pH on marine  
59    biota arises from reduced carbonate ion concentration in seawater, which affects the  
60    production of calcareous structures, as exo- or endo-skeletons, in marine organisms from  
61    plankton to benthic molluscs, echinoderms, and corals (Beaufort et al., 2011; Bolton et al.,  
62    2016; Fabry, 2008; Findlay et al., 2009; Koch et al., 2013; Martin et al., 2008; Monteiro et al.,  
63    2016; Orr et al., 2005; Porzio et al., 2018). Recently, it has also demonstrated that OA alter  
64    the anchoring ability of mitilids, by weakening the proteinaceous byssus, potentially affecting  
65    community structure and the sensory perception of different marine organisms threatening their  
66    reciprocal communication, behavior and survival (Ashur et al., 2017; Li et al., 2017;  
67    O'Donnell et al., 2013; Zupo et al., 2016).

68    Some organisms living in extreme habitats or exposed to multiple stressors develop an  
69    adaptive capacity to live and reproduce in such negative conditions. Species maintenance,  
70    fitness and survival depend on reproductive success. Reproduction is the highly specialized  
71    process of cell interaction that generates a new individual of the same species through  
72    different events such as recognition, binding and fusion of gametes. Reproductive success  
73    strongly depends on gamete quality. In free spawning marine species, gametes are released in

74 seawater where fertilization and embryo development occur; consequently, gametes and  
75 embryo may be exposed to chemo-physical stressors present in the seawater that, altering  
76 their quality and physiology, may affect fertilization success, embryo development, larval  
77 viability and, subsequently, species fitness and survival.

78 Climate change including warming and acidification together with chemical pollution are  
79 threatening marine organisms exerting reprotoxic effects on invertebrates that appear to be  
80 highly vulnerable to environmental stressors (Boni et al., 2016; Byrne, 2012; Gallo, 2018;  
81 Gallo et al., 2016; Gallo et al., 2018b; Gallo et al., 2011; Gallo and Tosti, 2013; Gallo and  
82 Tosti, 2015b; Hall-Spencer et al., 2008). To date, it has been demonstrated that parental  
83 exposure of diverse marine invertebrate species to OA had a direct negative impact on  
84 reproductive capability affecting embryo and larval development, larval settlement success,  
85 and offspring quality (Pansch et al., 2018). Despite an increasing number of studies focusing  
86 on the effects of OA on the early life history of marine organisms, very few have investigated  
87 OA impact on marine invertebrate gamete quality. Sperm quality is defined as the ability of  
88 spermatozoa to fertilize an oocyte and allow the development of normal embryo and can be  
89 quantitatively estimated by evaluating several parameters such as motility, morphology,  
90 mitochondrial activity, intracellular pH and oxidative status (Bobe and Labbé, 2010). To date,  
91 the OA impact on marine invertebrate sperm quality has been assessed by evaluating only two  
92 parameters, such as motility and fertilization capability, in few marine invertebrate species. In  
93 particular, in different sea urchin species it was demonstrated that these two parameters were  
94 negatively impacted by acidified seawater (Schlegel et al., 2012). To our knowledge, at  
95 present the impact of OA on sperm quality and physiology in ascidian has not yet been  
96 investigated. In this line, the present study aimed to evaluate the OA effects on a set of sperm  
97 quality parameters underlying fertilization competence in the ascidian *Ciona robusta*. This  
98 (previously *Ciona intestinalis* type A, (Brunetti et al., 2015)) is a marine invertebrate

99 broadcast spawner, whose reproductive physiology is well known (Satoh, 1994). The  
100 sensitivity of *C. robusta* gametes to different environmental stressors has been investigated  
101 showing adverse effects on some events which are at the basis of reproductive mechanisms  
102 (Gallo, 2018; Gallo et al., 2011; Gallo and Tosti, 2013; Gallo and Tosti, 2015b; Gallo and  
103 Tosti, 2016). All together, these data led also us to propose *C. intestinalis* as an emerging  
104 model to study the impact of chemo-physical stressors in marine environment (Gallo and  
105 Tosti, 2015a).

106 In this study, sperm sensitivity of *Ciona robusta* to short-term acidified seawater exposure  
107 was evaluated by using two approaches, *in situ* transplant and microcosm experiments, aiming  
108 to simulate the ocean conditions predicted for the end of this century. Animals were exposed  
109 in a naturally acidified site due to CO<sub>2</sub> vent emissions along the Ischia island (Naples) coast in  
110 the first and in artificially acidified seawater by CO<sub>2</sub> bubbling in the second one. Several  
111 sperm quality parameters underling fertilization competence and offspring quality were  
112 evaluated as toxicity endpoints after paternal exposure to acidified conditions for one week.

113

## 114 **2. Material and Methods**

### 115 **2.1 Animal collection**

116 Adults of *Ciona robusta* (formerly known as *C. intestinalis* type A) were collected from Gulf  
117 of Naples (Italy) and homogeneously divided in two sub groups for *in situ* transplant and  
118 microcosm experiments. The experiment were replicated two years monthly in January and  
119 February of 2017 and 2018.

### 120 **2.2 *In situ* transplant experiment**

121 Field studies were conducted at Castello Aragonese (Island of Ischia, Gulf of Naples,  
122 Tyrrhenian Sea, Italy; 40°43.866'N, 13°57.806'E) where volcanic emissions vents occur in  
123 shallow waters emitting  $1.4 \times 10^6 \text{ l d}^{-1}$  of gas comprising 90–95% CO<sub>2</sub> and other traces gases

124 (no sulfur) (Hall-Spencer et al., 2008). Into this stretch of coastal water, a natural  $p\text{CO}_2$   
125 gradients is well established with natural temporal fluctuations, allowing for an examination  
126 of the effects of decreasing pH conditions, such as those predicted at the close of this century.  
127 Fieldwork was carried out at two stations along this gradient situated at approximately 3 m  
128 depth: the reference station (control pH 8.1) situated 400m from the gas vent area and the  
129 acidified station (pH 7.8) adjacent to the vents.

130 Ascidians were randomly allocated into 9 cages that initially have been placed at reference  
131 station to acclimatize. After 7 days, one cage was collected and animals carried to the  
132 laboratory (0 day); 4 cages were left in the control station while the remaining 4 cages were  
133 moved to the 7.8 pH station. Over a timespan of a week, ascidians were collected from both  
134 stations at different intervals (1, 2, 3 and 7 days) and carry to the laboratory.

### 135 **2.3 Microcosm experiments**

136 After collection, ascidian were transported to Stazione Zoologica Unit of Marine Resources  
137 for Research laboratory, where they were acclimated in aquaria with running seawater at 18  
138 °C for 7 days. The experimental facility consisted of a control tank, in duplicates, supplied  
139 with natural seawater (pH  $8.1 \pm 0.1$  and  $37 \pm 0.5$  ppm salinity) and tank, in duplicates,  
140 containing seawater acidified at pH  $7.8 \pm 0.1$ . Seawater was acidified by means of a bubbling  
141 system supplying  $\text{CO}_2$  gas injected trough the water as very fine bubbles allowing the gas to  
142 rapidly dissolve. The  $\text{CO}_2$  system is plugged with a pH controller adjusted at pH 7.8; once the  
143 required pH is achieved, the supply of  $\text{CO}_2$  is halted via an automated feedback relay system.  
144 After 7 days acclimatization period, ascidians were randomly distributed into control and  
145 acidified tanks (1 ascidian/L). Animals were collected at the start of experiments (0 day) and  
146 from experimental tanks after 1, 2, 3 and 7 days of exposure.

### 147 **2.4 Sperm collection**

148 Ascidians from either *in situ* transplant and microcosm experiments were anesthetized on ice  
149 and spermatozoa were collected from dissected sperm ducts and held at 4 °C. All experiments  
150 were conducted within the same day of animal collection and two pools were prepared,  
151 respectively, from three different animals.

## 152 **2.5 Sperm concentration and motility**

153 Sperm concentration was evaluated by a Makler counting chamber. Sperm motility analysis  
154 was performed by using the free Computer Assisted Sperm Analyzer software that is a plugin  
155 open source for the software ImageJ.

## 156 **2.6 Sperm viability**

157 Sperm viability was assessed using the LIVE/DEAD Sperm Viability Kit (Life technologies,  
158 Milan, Italy). This kit consists of SYBR-14 stock solution (1mM in DMSO) and propidium  
159 iodide solution (PI; 2.4 mM solution in water). SYBR-14 permeates cells with preserved  
160 membrane integrity and emits green fluorescence. Contrastingly, PI permeates only  
161 spermatozoa that lost membrane integrity. Fluorescent staining was carried out as previously  
162 reported (Gallo et al., 2018a). Briefly, an aliquot of spermatozoa diluted at  $5 \times 10^6$  cell/ml  
163 were stained by adding SYBR-14 (final concentration 100 nM) and incubated in the dark for  
164 15 minutes; then PI (final concentration 12  $\mu$ M) was added and incubated an additional 15  
165 minutes. Samples were washed with FNSW by centrifuged at 800g for 10 min and then  
166 resuspended in FSWN and analysed by spectrofluorometer (Shimadzu RF-5301PC, Japan)  
167 using a quartz microtube (10x4 mm, high precision, Hellma Analytics, Mullheim, Germany).  
168 SYBR-14 and PI fluorescence were measured at 500-530 nm (live spermatozoa) and at 570-  
169 650 nm (dead spermatozoa), respectively. Sperm viability was calculated as a ratio between  
170 live spermatozoa (SYBR-14 stained) and the sum of dead (PI-stained) and live spermatozoa.

## 171 **2.7 Mitochondrial membrane potential (MMP)**

172 To determine the MMP, the vital mitochondrial dye JC-1 was used. This dye is freely  
173 permeable to cells and is a useful tool for investigating mitochondrial activity since it  
174 undergoes reversible transformation as MMP changes from aggregate to monomer forms  
175 shifting the fluorescence emission from red (~595 nm) to green (~535 nm). Consequently,  
176 MMP value can be determined by red/green ratio. Aliquots of spermatozoa diluted at  $1 \times 10^6$   
177 cell/mL were stained by adding 5  $\mu$ M JC-1, diluted from a 7.7mM stock solution in DMSO,  
178 and incubating for 30 min at 18 °C. After staining, spermatozoa were centrifuged for 15 min  
179 at 800g, the pellet was resuspended in FNSW and transferred to quartz cuvette for  
180 spectrofluorometric analysis using 488 nm excitation and 500-650 nm emission wavelengths.  
181 The ratio between red and green fluorescence emission peak values indicates the MMP.  
182 Controls were prepared by exposing JC-1 loaded spermatozoa to the mitochondrial uncoupler  
183 carbonyl cyanide m-chlorophenyl hydrazone (CCCP, 5  $\mu$ M) that disrupts MMP resulting in a  
184 shift from red to green fluorescence.

## 185 **2.8 Intracellular pH ( $pH_i$ ) and external pH ( $pH_e$ )**

186 The pH sensitive fluorescent chromophore 2',7'-bis-(2-carboxyethyl)-5-(and-6)-  
187 carboxyfluorescein acetoxymethyl ester (BCECF-AM), which freely diffuse through the  
188 plasma membrane, was used to evaluate  $pH_i$ . In the cell, this dye is hydrolyzed by esterases  
189 releasing the intracellularly trapped indicator, BCECF, retained within the cytoplasm and its  
190 fluorescence intensity is dependent upon the pH. The ester form of BCECF (5 mM BCECF-  
191 AM) was added to the sperm suspensions ( $1 \times 10^6$  cell/mL) and incubated in the dark at 18 °C  
192 for 30 min. Then, the suspensions were centrifuged for 15 min at 800g, the sperm pellet was  
193 resuspended in FNSW and transferred to the quartz cuvette for spectrofluorometric analysis.  
194 The  $pH_i$  value was measured by alternately exciting BCECF at 440 nm and 490 nm and  
195 recording the fluorescence emission peak values at 535 nm. Subsequently, the ratio between  
196 the two obtained emission peaks was converted to its respective  $pH_i$  by using a calibration

197 curve. This was constructed on each experiment by incubating sperm suspensions in a  
198 calibration buffer solution (135mM KCl, 5mM HEPES, 290 mOsm) at pH 6.5, 7.0, 7.5 in  
199 presence of 5  $\mu$ M nigericin, which acts as a potassium ionophore promoting  $K^+/H^+$  exchange.

## 200 **2.9 Intracellular reactive oxygen species (ROS) determination**

201 Intracellular ROS levels were determined through two different oxidation-sensitive  
202 fluorescent probes: 2',7'-dichlorodihydrofluorescein diacetate ( $H_2DCFDA$ ) and hydroethidine  
203 (HE), used to analyze the intracellular content of hydrogen peroxides ( $H_2O_2$ ) and superoxide  
204 anions ( $O_2^-$ ), respectively.

205  $H_2DCF-DA$  is freely permeable across cell membranes and is incorporated into hydrophobic  
206 regions of the cell where its acetate moiety is cleaved by esterase producing the impermeant  
207 non-fluorescent 2',7'-dichlorodihydrofluorescein ( $H_2DCF$ ). The  $H_2DCF$  is oxidized by  $H_2O_2$   
208 to the highly fluorescent 2',7'-dichlorofluorescein (DCF) which emits fluorescence at ~530  
209 nm in response to 488 nm excitation wavelengths. Sperm aliquots diluted at  $5 \times 10^6$  cell/mL  
210 were stained by adding  $H_2DCF-DA$  to final concentration of 10  $\mu$ M and incubated for 30 min  
211 in the dark at 18 °C. Then, sperm suspensions were centrifuged for 15 min at 800g, the pellet  
212 was resuspended in FNSW and incubated for additional 30 min. Subsequently, sperm  
213 suspensions were centrifuged, the pellet was resuspended in FNSW and transferred to the  
214 quartz cuvette for spectrofluorometric analysis. A positive control was prepared by incubating  
215 the sperm suspension with hydrogen peroxide. Fluorescence intensity was measured at an  
216 excitation wavelength of 488 nm and emission wavelengths of 500-560 nm.

217 The hydroethidine (HE; Life technologies, Milan, Italy) is used to estimate the intracellular  
218  $O_2^-$  level. HE is the sodium borohydride-reduced form of ethidium. It is freely permeable to  
219 cells and exhibits blue fluorescence in the cytoplasm until oxidized; when oxidized by  $O_2$ , it  
220 generates two red fluorescent products the 2-hydroxyethidium (2-OH- $E^+$ ) and the ethidium  
221 ( $E^+$ ). The latter binds to DNA emitting at 610 nm in response to 488-nm excitation. The 2-



222 OH-E<sup>+</sup> is a highly specific red fluorescent product, which was unique to superoxide, other  
223 oxidants in fact did not form the same product upon reaction with HE. Consequently, to  
224 selectively detect the superoxide-specific product (2-OH-E<sup>+</sup>) the excitation light was set at  
225 350 nm (Robinson et al., 2006). Aliquots of spermatozoa diluted at 30 x 10<sup>7</sup> spermatozoa/ml  
226 were stained by adding HE to final concentration of 20 μM and incubated for 30 min in the  
227 dark at 18 °C. Following, sperm suspensions were diluted by adding NFSW and transferred to  
228 the quartz cuvette for spectrofluorometric analysis. A positive control was prepared by  
229 incubating the sperm suspension with menadione. Fluorescence intensity was measured at an  
230 excitation wavelength of 350 nm and emission wavelengths of 500-700 nm.

## 231 **2.10 Lipid peroxidation**

232 Lipid peroxidation was evaluated using the fluorescent membrane probe C11-BODIPY<sup>581/591</sup>.  
233 This probe is an oxidation-sensitive fluorescent fatty acid analog, which is easily incorporated  
234 into membranes and sensitive to oxidation with an emission spectra shifting from red (~595  
235 nm) to green (~520 nm) fluorescence upon oxidation. Sperm suspensions (2x10<sup>7</sup>  
236 spermatozoa/mL) were incubated for 30 min in the dark at 18 C° with 5 μM C11-  
237 BODIPY<sup>581/591</sup> in DMSO. After staining, spermatozoa were centrifuged, the pellet  
238 resuspended in FNSW and transferred to quartz cuvette for spectrofluorimetric analysis. A  
239 positive control was prepared by incubating the samples with two peroxidation promoters  
240 (150 μM ferrous sulfate and 750 μM vitamin C) and FNSW, respectively. The fluorescence  
241 intensity was measured at 488 nm excitation and 500–650 nm emission wavelengths. Then, a  
242 ratiometric analysis was performed by relating fluorescence emission peak value at ~520 nm  
243 to the sum of fluorescence emission peak values at ~520 and ~590 nm.

## 244 **2.11 Ultrastructural analyses: scanning and transmission electron microscopy**

245 Following exposure to acidified conditions both *in situ* transplant and microcosm  
246 experiments, spermatozoa were fixed for 1 h at RT in 2.5% glutaraldehyde solution in 0.2 M  
247 sodium cacodylate buffer and 20% FNSW. Samples were washed for 10 min once in sodium  
248 cacodylate buffer and twice in distilled water and, then, postfixed for 1 h at RT in 1% osmium  
249 tetroxide in distilled water. For scanning electron microscopy (SEM), after dehydration in an  
250 ascending ethanol series (30, 50, 70, 90, and 100%), samples were mounted on studs, then  
251 coated with palladium and examined under a JEOL JSM 6700F microscope. For transmission  
252 electron microscopy (TEM), samples were treated for 15 min in propylene oxide, infiltrated in  
253 1:1 propylene oxide/Epon 812 overnight and then embedded in fresh resin at 60 °C for 48 h.  
254 Ultrathin sections were cut on Leica Ultracut ultramicrotome, stained with 4% uranyl acetate  
255 for 30 min and 3% lead citrate for 30 sec, collected on 200 mesh thin bar copper grids, and  
256 observed with a LEO 912AB microscope (Zeiss, Göttingen, Germany). Three true replicates  
257 for either control or treatment groups were performed; ten sections for each replicate were  
258 analyzed.

## 259 **2.12 Fertilizing capability**

260 The effects of seawater acidification on sperm fertilizing capability was assessed by  
261 evaluating fertilization rate and the induction of transmissible damages to the offspring.  
262 Aliquots of spermatozoa ( $1 \times 10^6$ /ml), collected by animals exposed to acidified conditions  
263 either *in situ* transplant or microcosm experiments were added to 10 ml of FNSW containing  
264 approximately 200 oocytes randomly collected from ascidians not exposed to acidified  
265 seawater nor used for sperm collection. As control, sperm aliquots collected from not exposed  
266 animals was used for fertilization. The fertilization dishes were incubated in a culture  
267 chamber at 18°C; after 50 min, fertilization occurrence was assessed by the formation of the  
268 first cleavage. To evaluate the offspring quality, the dishes were incubated up to 24 h and  
269 larvae percentage with normal morphology was evaluated.

## 270 **2.13 Statistical analysis**

271 Data were reported as the mean  $\pm$  standard deviation (SD) and tested for normal distribution  
272 by the Shapiro-Wilk test and for variance homogeneity by Leven's test. Since the two  
273 assumptions were accepted, the one-way analysis of variance (ANOVA) followed by Fisher's  
274 least significant difference (LSD) test was performed. For values expressed as percentages,  
275 data were analyzed after arcsine transformation to achieve normality. For the pH, whose  
276 values are not characterized by a continuous distribution, a transformation in H<sup>+</sup> concentration  
277 was applied. The significance level was set at p=0.05.

## 278 **3. RESULTS**

### 279 **3.1 Sperm concentration**

280 Sperm concentration, expressed as 10<sup>9</sup> spermatozoa/ml, was not affected by OA. In fact, the  
281 concentration of spermatozoa collected from adults exposed to acidified seawater in both *in*  
282 *situ* transplant and microcosm experiments did not significant differ from those recorded in  
283 control ascidians (Figure 1).

### 284 **3.2 Sperm motility**

285 Motility, expressed as percentage of motile spermatozoa on the whole sperm population, in  
286 ascidians exposed to acidified seawater significantly decreased in comparison to those  
287 recorded in control ascidians at day 2 and 3 of experiment. On day 7 after exposure, sperm  
288 motility percentage from ascidians exposed in acidified seawater did not significant differ  
289 from those assessed in control ascidians (Figure 2).

### 290 **3.3 Sperm viability**

291 On day 2 and 3 after exposure, the viability of spermatozoa collected from ascidian exposed  
292 to acidified seawater in *in situ* transplant experiment was significantly lower than that  
293 evaluated in control ascidian (50.58 $\pm$ 4.86 vs 35.47 $\pm$ 2.76 at day 2; 50.34 $\pm$ 4.53 vs 37.49 $\pm$ 1.52

294 at day 3;  $P < 0.05$ ) (Figure 3). On day 7 after exposure, sperm viability from ascidians exposed  
295 in acidified seawater did not significant differ from those assessed in control ascidians.

296 In microcosm experiments, the sperm viability assessed in ascidian exposed to acidified  
297 seawater did not significant differ from those recorded in control ascidian (Figure 3).

### 298 **3.4 MMP**

299 In *in situ* transplant experiment, after 2 and 3 days of exposure a significant decrease of  
300 MMP values was observed in spermatozoa collected from ascidian exposed to acidified  
301 condition compared to control ascidian ( $35.00 \pm 2.76$  vs  $22.35 \pm 3.52$  at day 2 and  $28.88 \pm$   
302  $1.95$  vs  $12.77 \pm 1.31$  at day 3;  $P < 0.05$ ). On day 7, MMP assessed in spermatozoa from  
303 ascidians exposed in acidified seawater did not significant differ from those of control  
304 ascidians (Figure 4).

305 In microcosm experiments, on day 1 and 2 of exposure, the MMP measured in  
306 spermatozoa from ascidians exposed to acidified seawater were not significant different  
307 from those recorded in spermatozoa collected from control ascidians. At day 2 of  
308 exposure, the MMP detected in spermatozoa collected from ascidians exposed to acidified  
309 seawater significantly decreased in comparison to MMP recorded in spermatozoa of  
310 control ascidians ( $40.84 \pm 2.65$  vs  $27.54 \pm 1.3$ ;  $P < 0.05$ ) (Figure 4).

### 311 **3.5 Extracellular and intracellular pH**

312 External pH was not significant affected by OA; in fact,  $pH_e$  values measured in seminal  
313 plasma collect from ascidian exposed to acidified seawater either in *in situ* transplant or in  
314 microcosm experiments did not significant differ from those measured in seminal plasma  
315 collected from ascidian not exposed (Figure 5).

316 In *in situ* transplant experiments, on day 1 the  $pH_i$  of spermatozoa collected from  
317 ascidians exposed to acidified seawater high significantly decreased compared to  $pH_i$   
318 recorded in spermatozoa collected from control ascidians ( $9.40 \pm 0.08$  vs  $7.79 \pm 0.09$ ;  $P <$

319 0.01). In the following days, the sperm  $\text{pH}_i$  recorded in ascidians exposed to acidified  
320 seawater did not significant differ from those assessed in control ascidians.

321 Similarly, in microcosm experiment, sperm  $\text{pH}_i$  in ascidian exposed to acidified seawater  
322 significantly decreased in comparison to that recorded in spermatozoa collected from  
323 control ascidians ( $9.30 \pm 0.07$  vs  $9.00 \pm 0.07$ ;  $P < 0.05$ ). In the following days, the sperm  
324  $\text{pH}_i$  recorded in ascidians exposed to acidified seawater did not significant change compared  
325 to those measured in control ascidian (Figure 5).

### 326 **3.6 Intracellular reactive oxygen species (ROS) level**

#### 327 **3.6.1 Hydrogen peroxides ( $\text{H}_2\text{O}_2$ )**

328 The intracellular level of  $\text{H}_2\text{O}_2$  recorded in spermatozoa exposed to acidified seawater did  
329 not significantly vary compared to those recorded in spermatozoa collected from not  
330 exposed ascidians (Figure 6).

#### 331 **3.6.2 Superoxide anions ( $\text{O}_2^-$ )**

332 The intracellular level of  $\text{O}_2^-$  recorded in spermatozoa exposed to acidified seawater in both  
333 *in situ* transplant and microcosm experiments did not significantly differ in comparison to  
334 those recorded in spermatozoa collected from control ascidians (Figure 6).

### 335 **3.7 Lipid peroxidation**

336 Ocean acidification did not affect plasma membrane lipid peroxidation; in fact, the level  
337 of lipid peroxidation observed in spermatozoa collected from ascidians exposed to  
338 acidified seawater in both *in situ* transplant and microcosm experiments did not  
339 significantly differ from those recorded in spermatozoa collected from control ascidians  
340 (Figure 7).

### 341 **3.8 Fertilization capability**

342 Acidified condition did not affect sperm fertilizing capability in ascidian *C. robusta*. All  
343 over the exposure period, fertilization rate did not significant change, furthermore  
344 transmissible damages to the offspring were not observed (data not shown).

### 345 **3.9 Sperm ultrastructural morphology**

346 Sperm morphology was affected by seawater acidification. After 3 days of parental exposure  
347 to acidified conditions in both *in situ* transplant and microcosm experiments, ascidian  
348 spermatozoa observed at the SEM exhibited a range of structural modifications at the level of  
349 the plasma membrane. These modifications included the interruption of plasma membrane  
350 continuity, an increased fracture at the point of intersection between the head and the  
351 overlying mitochondrion, the detachment and sliding of the mitochondrion, up to the loss of  
352 the morphology of the head and the mitochondrion (Figure 8).

353 TEM confirms these data showing the progressive separation of the mitochondrion from the  
354 sperm head two structures and the loss of sperm normal morphology with fragmentation,  
355 rounding and sliding of the mitochondrion (Figure 9).

## 356 **4. DISCUSSION**

357 In this study, we have shown for the first time a short-term impact of OA on the sperm quality  
358 of the marine invertebrate *Ciona robusta* by using two different approaches, *in situ* transplant  
359 and microcosm experiments, and analyzing a set of sperm quality parameters underling  
360 fertilization competence. Recently, the importance of short-term sperm exposure has been  
361 highlighted; it helps to explain species-specific differences in response to OA (Campbell et  
362 al., 2017). In particular, short-term exposure (7-days) has been performed to test species  
363 reaction and acclimation ability to environmental conditions (Truebano et al., 2018).

364 Several studies investigated the effects of OA on reproduction in marine invertebrates  
365 focusing on fertilization success. Some of these reported a negative impact of OA on  
366 fertilization in different marine species across broad taxonomic groups (Albright et al., 2016;

367 Barros et al., 2013; Gonzalez-Bernat et al., 2013). However, other authors demonstrated that  
368 species with external fertilization are tolerant to ocean acidification (Chua et al., 2013;  
369 Havenhand and Schlegel, 2009; Ho et al., 2013; Martin et al., 2011). Nonetheless, at present,  
370 studies focusing on the influence of OA on gamete quality are scarce (Caldwell et al., 2011;  
371 Campbell et al., 2016; Foo and Byrne, 2017; Foo et al., 2018; Frommel et al., 2010;  
372 Havenhand and Schlegel, 2009; Morita et al., 2010). Fertilization success is strictly correlated  
373 to gamete quality defined as the ability of the gametes to fertilize and to be fertilized. In  
374 literature, a negative impact of OA on oocyte quality of different marine species was reported  
375 (Foo and Byrne, 2017; Foo et al., 2018), while data on the OA impact on sperm quality are  
376 scarce and few parameters of sperm quality, such as motility, swimming and fertilizing  
377 capability, have been assessed in response to acidified seawater. To our knowledge, no any  
378 study has previously investigated the short-term impact of OA after parental exposure on a set  
379 of ascidian sperm quality parameters.

380 Motility is a sperm quality parameter largely used to assess the effects of several  
381 environmental stressors since it is simple and rapid to analyze. The OA influence on sperm  
382 motility was evaluated in different marine species with contrasting results. In fact, a decrease  
383 of sperm motility under acidified conditions was reported and this alteration was related to a  
384 low probability of gamete interaction and fertilization success (Havenhand et al., 2008;  
385 Morita et al., 2010; Nakamura and Morita, 2012), while others have found no effects of OA  
386 on sperm motility (Frommel et al., 2010; Havenhand and Schlegel, 2009). In contrast, only  
387 one study showed an improvement in swimming speed under acidified conditions (Caldwell  
388 et al., 2011). Here, we observed a negative impact of OA on ascidian sperm motility since the  
389 percentage of motile spermatozoa decreased after exposure. The energy required for motility  
390 is provided by mitochondrial activity (Kasai et al., 2002), which can be assessed by  
391 evaluating changes in MMP that in active spermatozoa is higher than in non-active ones.

392 MMP has been demonstrated to be affected by several chemo-physical stressors (Boni et al.,  
393 2016; Gallo et al., 2016; Gallo et al., 2018b). In this study, we demonstrated that OA affects  
394 also mitochondrial activity, in fact the MMP of ascidian spermatozoa decreased after two  
395 days of exposure and reached a peak on day 3 to slowly recover the physiological conditions.  
396 These results suggest that mitochondrial activity impairment may be the cause of sperm  
397 motility decrease under OA. On the other hand, it has been shown that OA affects sperm  
398 motility by modulating ion channel activity (Nishigaki et al., 2014). The activation of marine  
399 spermatozoa occurs almost instantly upon release into seawater due to an influx of external  
400  $\text{Na}^+$  into the spermatozoa triggering the release of  $\text{H}^+$  ions within the cell elevating  $\text{pH}_i$ , which  
401 activates dynein ATPase (Tosti, 1994; Tosti and Ménézo, 2016). In this study, the  $\text{pH}_i$  of  
402 spermatozoa collected from ascidian exposed to acidified condition undergoes a pH sharp  
403 decrease that can explain the observed reduction in sperm motility. In fact, the enzymes  
404 regulating this process have a different optimal pH, so their activity is inhibited under lowered  
405 pH. Furthermore, the low pH may negatively affect the efficiency of the mitochondrial  
406 electron transport chain, which has been recognized to be one of the major cellular generators  
407 of ROS (Agarwal et al., 2014). ROS are produced in spermatozoa under normal physiological  
408 conditions and, at low and controlled concentrations, are required for crucial processes such  
409 as capacitation, acrosome reaction, and sperm-oocyte fusion (de Lamirande et al., 1997;  
410 Guerriero et al., 2018; Takeshima et al., 2018). At high concentrations or in the presence of  
411 reduced antioxidant defenses, which lead to ROS quenching, ROS may induce oxidative  
412 damage to DNA, proteins and lipids. Sperm plasma membrane, in particular, is highly  
413 susceptible to oxidative stress due to the high content of structurally unstable polyunsaturated  
414 fatty acids that confer the necessary fluidity for membrane fusion occurring at fertilization  
415 (Tosti and Ménézo, 2016). The oxidant attack on plasma membrane results in lipid  
416 peroxidation compromising plasma membrane fluidity (Aitken, 2017). Some studies suggest



417 that the mechanism of toxic action of seawater acidification goes through oxidative stress  
418 (Gomiero et al., 2018; Jeeva Priya et al., 2017). Here, we demonstrated that OA did not affect  
419 the oxidative status of spermatozoa; in fact, we did not observe any increase of intracellular  
420 level of two different ROS species nor lipid peroxidation in spermatozoa collected from  
421 animal after short-time exposure to acidified condition. The occurrence of fertilization, here  
422 observed, is corroborated by the integrity of sperm plasma membrane after OA exposure,  
423 which is a fundamental requisite for gamete recognition, binding and fusion.

424 In our study, besides the mitochondrial dysfunction, seawater acidification also induces  
425 mitochondrial ultrastructural damages. Ascidian sperm head is surrounded by a single large  
426 mitochondrion, which undergo swelling and migration, and finally is lost at fertilization  
427 (Satoh, 1994). Under acidified condition, electron microscopy analysis reveals a detachment  
428 and sliding of the mitochondrion from its typical position up to the loss of the normal  
429 morphology of both sperm head and the mitochondrion itself.

430 We also demonstrate that acidified seawater impairs the vitality of ascidian spermatozoa,  
431 which is a key determinant of sperm quality. In marine animals, sperm viability has been  
432 assessed after exposure to different environmental stressors but never after OA exposure. The  
433 fluorometric staining combination of SYBR-14 and PI is a rapid and reliable assay for  
434 determining the percentage of live and dead spermatozoa in marine invertebrates (Gallo et al.,  
435 2018a) proposed as a novel foresight predictor of sperm quality in the ecotoxicological  
436 assessment of marine water status (Harlioğlu et al., 2018). By using this method, we found  
437 that after 2 and 3 days of exposure to acidified conditions the percentage of live spermatozoa  
438 decreased in *in situ* transplant experiments while it was unaffected in microcosm experiments.

439 Microcosm is an artificial and simplified ecosystem used to simulate and predict the effect of  
440 controlled environmental conditions on marine biota; on the contrary, field surveys cannot  
441 easily link cause and effect due to the biological complexity of natural ecosystems in which

442 biota is affected by several factors. In this study, comparing *in situ* transplant and microcosm  
443 experiments, we demonstrated that short-term seawater acidification parental exposure affects  
444 sperm morphology, motility and functionality inducing mitochondrial dysfunction and pH<sub>i</sub>  
445 decrease. The difference in the sperm viability obtained in the two experimental approaches  
446 suggests that under field conditions other stressors may act synergistically to seawater  
447 acidification. On the contrary, acidified condition did not affect sperm concentration  
448 suggesting that short-term exposure to seawater acidification does not influence the  
449 spermatogenic process.

450 Sperm quality parameters are associated with fertilization success. In this study, acidified  
451 conditions does not affect fertilization rate nor offspring quality. This seems to be in contrast  
452 with the sperm morphological and functional alterations observed after OA exposure.  
453 Possibly, the low percentage of normal spermatozoa is sufficient to induce oocyte  
454 fertilization; moreover, recent evidences show a scarce relevance of abnormal morphology on  
455 fertilization success (Kovac et al., 2017).

456 Resilience is defined as the ability to overcome environmental disturbances. Natural  
457 communities as coral reefs have been shown to be resilient to climate change, however  
458 anthropic impact is highly decreasing this natural adaptation capacity. Yet, studies aimed to  
459 increase ecosystem and species resilience play a fundamental role in the management of the  
460 climate disturbance impact (Côté and Darling, 2010). Recently, it has demonstrated that two  
461 different ascidian species were tolerant to seawater acidification (Brown et al., 2018). Here,  
462 acidified seawater causes ascidian sperm physiology alterations in the first days of exposure  
463 followed by a fully recovery to physiological conditions within one week providing a new  
464 evidence of ascidian spermatozoa resilience to OA. Resilience, due to the capacity of  
465 spermatozoa to recover from OA induced disturbances, may facilitate ascidian reproduction  
466 and persistence in a future ocean climate change.

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480 **Author Contributions**

481 AG, RB, MCB and ET designed methodology; AG, RB, MCB, VM, MCE performed the  
482 experiments; AG, RB collected and analyzed the data; ET supervised the project; AG and ET  
483 wrote the paper with input from all authors that gave final approval for publication.

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748 **Figure captions**

749 **Figure 1. Seawater acidification effects on sperm concentration in *C. robusta*.** Sperm  
750 concentration, expressed as the number of spermatozoa ( $\times 10^9/\text{ml}$ ), collected from adults of *C.*  
751 *robusta* after exposure to control (CTRL, pH 8.1) and acidified seawater (AcSW, pH 7.8) for  
752 7 days in *in situ* transplant and microcosm experiments.

753 **Figure 2. Seawater acidification effects on sperm motility in *C. robusta*.** Percentage of  
754 motile spermatozoa collected from adult *C. robusta* after exposure to control (CTRL, pH 8.1)  
755 and acidified seawater (AcSW, pH 7.8) for 7 days in *in situ* transplant and microcosm  
756 experiments. \* and \*\* indicate statistical ( $P < 0.05$ ) and high statistical ( $P < 0.01$ ) significant  
757 differences, respectively, with one way ANOVA.

758 **Figure 3. Seawater acidification effects on sperm viability in *C. robusta*.** Proportion of live  
759 spermatozoa collected from adult *C. robusta* after exposure to control pH (CTRL pH 8.1) and  
760 acidified seawater (AcSW, pH 7.8) for 7 days in *in situ* transplant and microcosm  
761 experiments. \* indicates statistical differences ( $P < 0.05$ ) with one way ANOVA.

762 **Figure 4. Seawater acidification effects on mitochondrial activity in spermatozoa of *C.***  
763 ***robusta*.** Mitochondrial membrane potential (MMP) measured in spermatozoa of *C. robusta*  
764 after exposure to control (CTRL, pH 8.1) and acidified (AcSW, pH 7.8) seawater for 7 days in  
765 *in situ* transplant and microcosm experiments. \* indicates statistical differences ( $P < 0.05$ ) with  
766 one way ANOVA.

767 **Figure 5. Seawater acidification effects on extra- and intra- cellular pH in spermatozoa**  
768 **of *C. robusta*.**  $pH_i$  recorded in spermatozoa of adult *C. robusta* after exposure to normal  
769 (CTRL, pH 8.1) and acidified seawater (AcSW, pH 7.8) for 7 days in *in situ* transplant and  
770 microcosm experiments. \* and \*\* indicate statistically ( $P < 0.05$ ) and high statistically  
771 differences ( $P < 0.01$ ), respectively, with one way ANOVA.

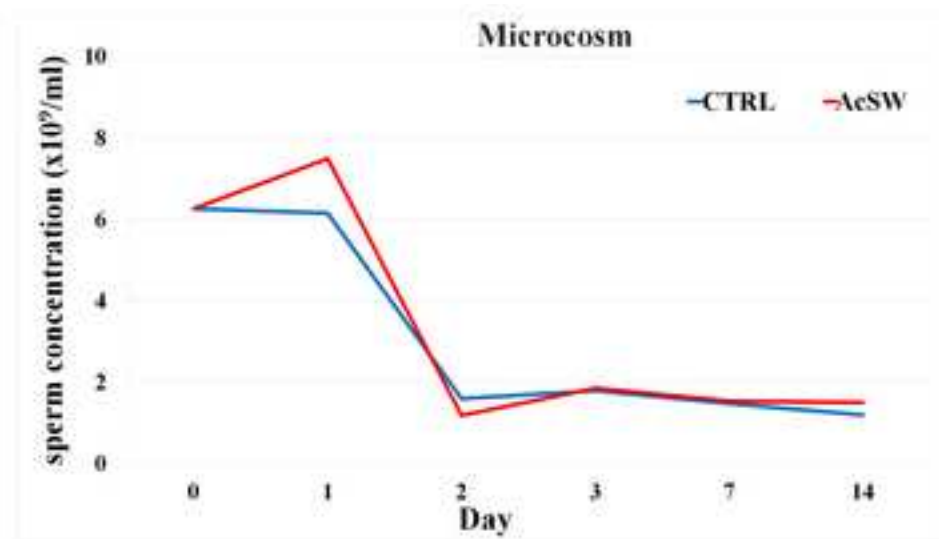
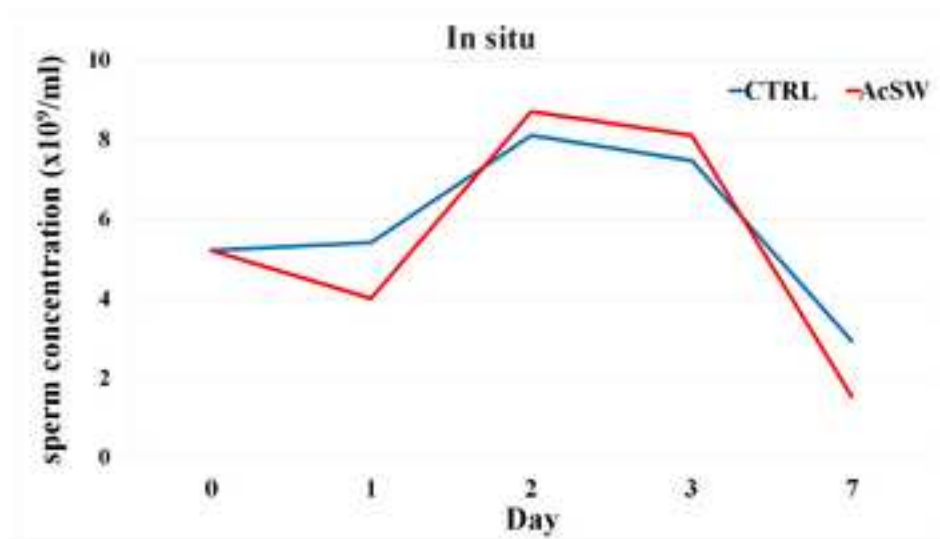
772 **Figure 6. Seawater acidification effects on intracellular level of reactive oxygen**  
773 **species in spermatozoa of *C. robusta*.** Intracellular level of hydrogen peroxide ( $H_2O_2$ )  
774 and superoxide anion ( $O_2^-$ ) measured in spermatozoa of adult *C. robusta* after exposure to  
775 control (CTRL, pH 8.1) and acidified seawater (AcSW, pH 7.8) for 7 days in *in situ*  
776 transplant and microcosm experiments.

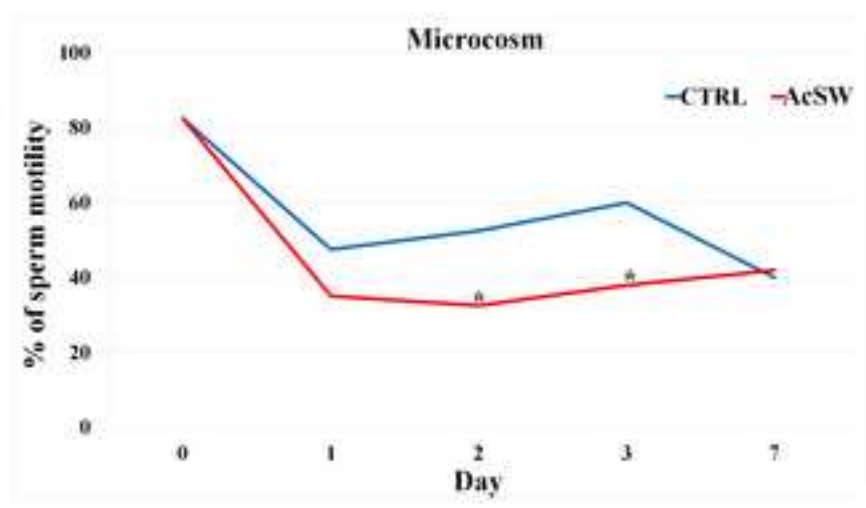
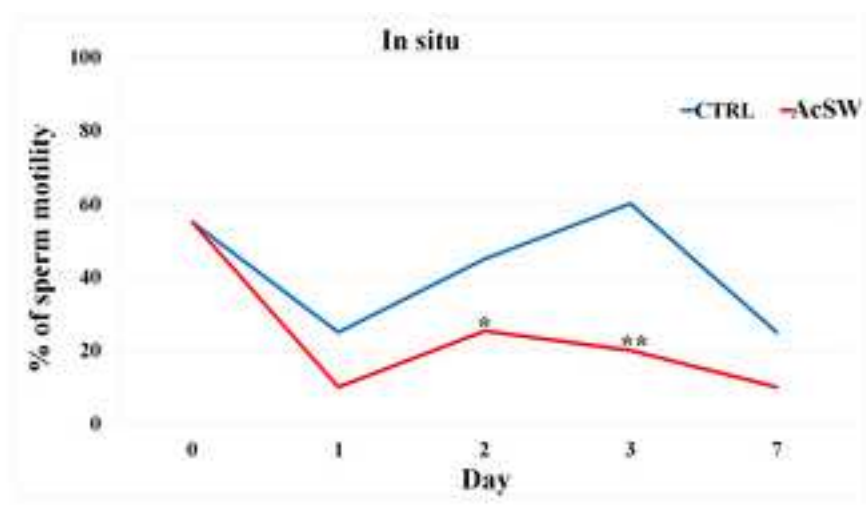
777 **Figure 7. Seawater acidification effects on plasma membrane lipid peroxidation in**  
778 **spermatozoa of *C. robusta*.** Lipid peroxidation measured in spermatozoa of adult *C. robusta*  
779 after exposure to normal (CTRL, pH 8.1) and acidified seawater (AcSW, pH 7.8) for 7 days in  
780 *in situ* transplant and microcosm experiments.

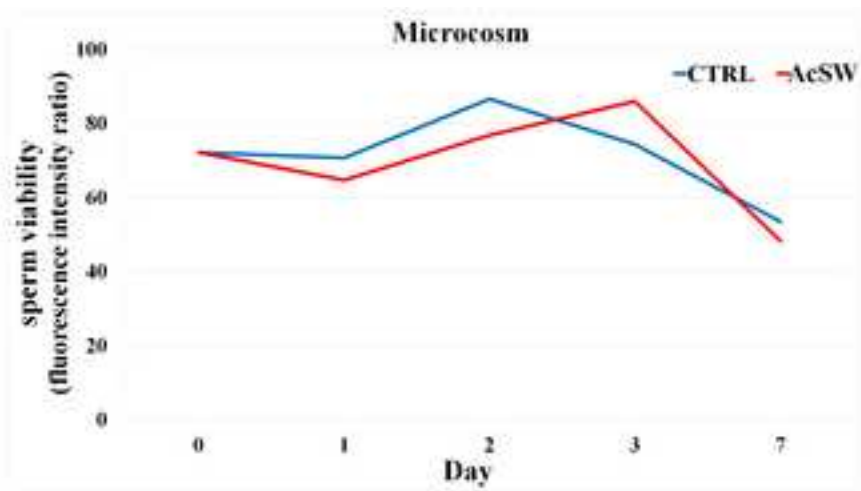
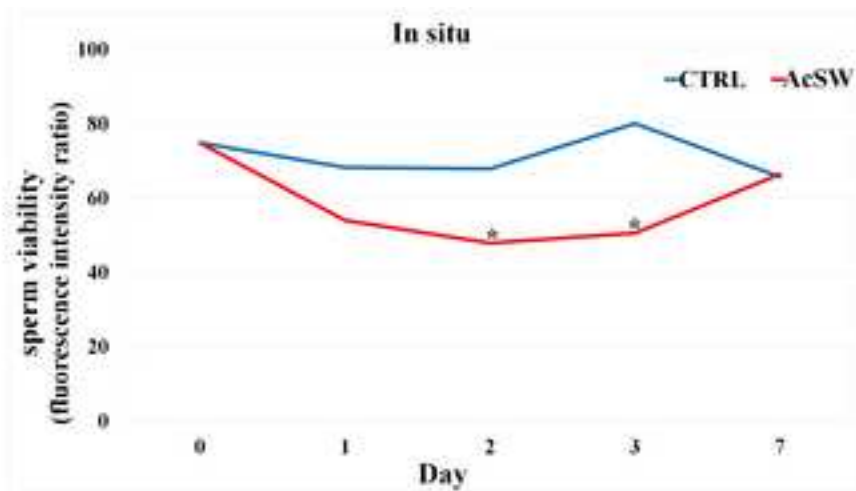
781 **Figure 8. Seawater acidification effects on morphology of *C. robusta* spermatozoa. (A)**  
782 Representative SEM image of control spermatozoa where the mitochondrion strictly adheres to  
783 the head and plasma membrane cover all the structure. The fracture indicated by the arrow  
784 delimits the area of insertion of the mitochondrial on the head. (B) spermatozoa collected from  
785 ascidians exposed to acidified seawater exhibit the enhancement of the fracture due to the

786 progressive focal disintegration of the head plasma membrane (arrow) and consequent detachment  
787 and sliding of the mitochondrion. In particular: C) an enhancement of the zone of insertion of the  
788 mitochondrion on the sperm head due to the initial desegregation of the plasma membrane; D) the  
789 loss of the plasma membrane regions integrity causes the detachment of the mitochondrion from  
790 the sperm head; E) a total sliding of the mitochondrion from the sperm head toward the tail, (F) a  
791 loss of mitochondrion normal oval morphology. Scale bar is 1  $\mu\text{m}$ .

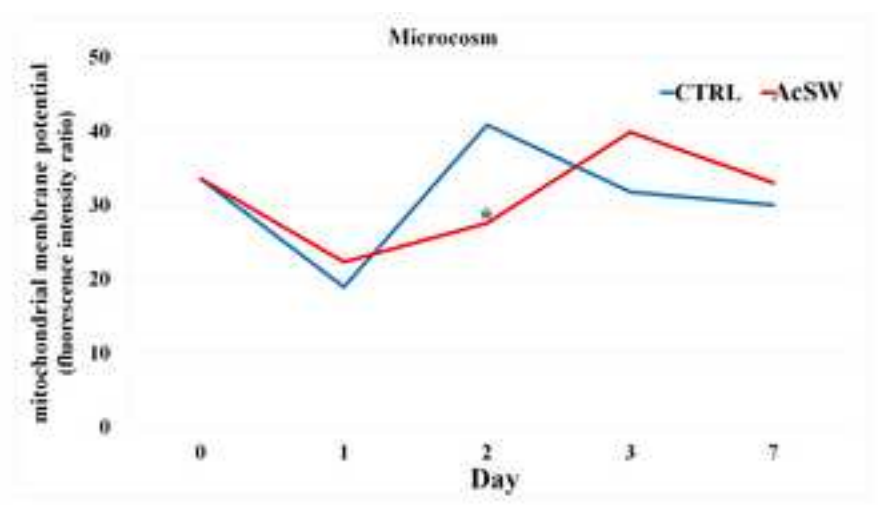
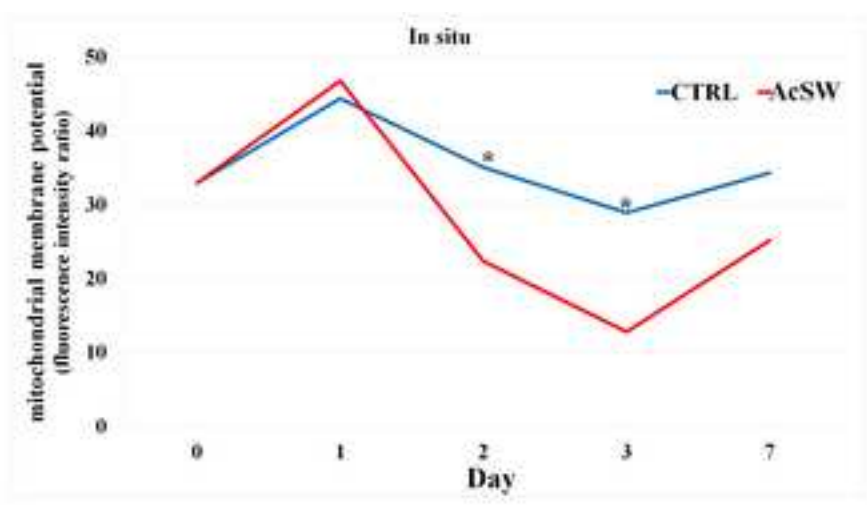
792 **Figure 9. Seawater acidification effects on *C. robusta* sperm ultrastructure.**  
793 Representative TEM image of longitudinal (A) and frontal (B) view of control spermatozoa in  
794 which the mitochondrion adheres to the head and plasma membrane cover all the structure. C,  
795 D, E, F) spermatozoa collected from ascidian exposed to acidified seawater show in either  
796 longitudinal and frontal views frequent interruptions and loss of plasma membrane continuity,  
797 loss of the morphology and fragmentation of the mitochondrion as indicated by arrows. Scale  
798 bar is 1 $\mu\text{m}$ .

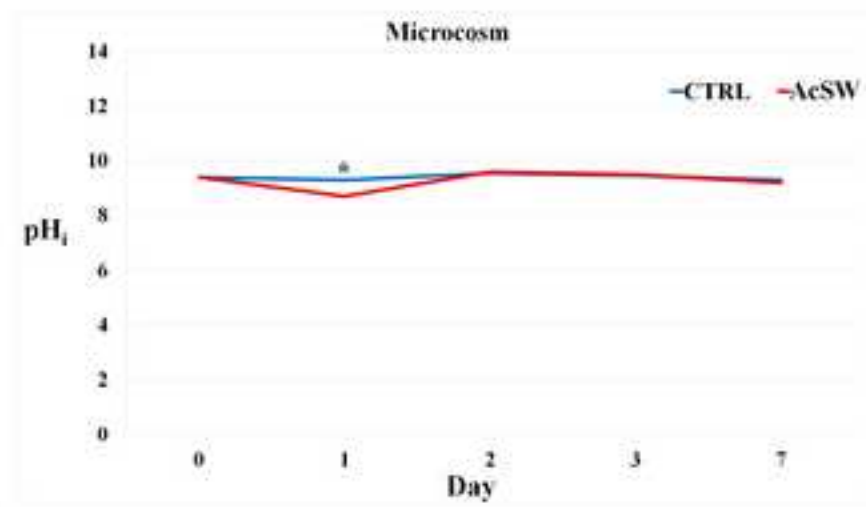
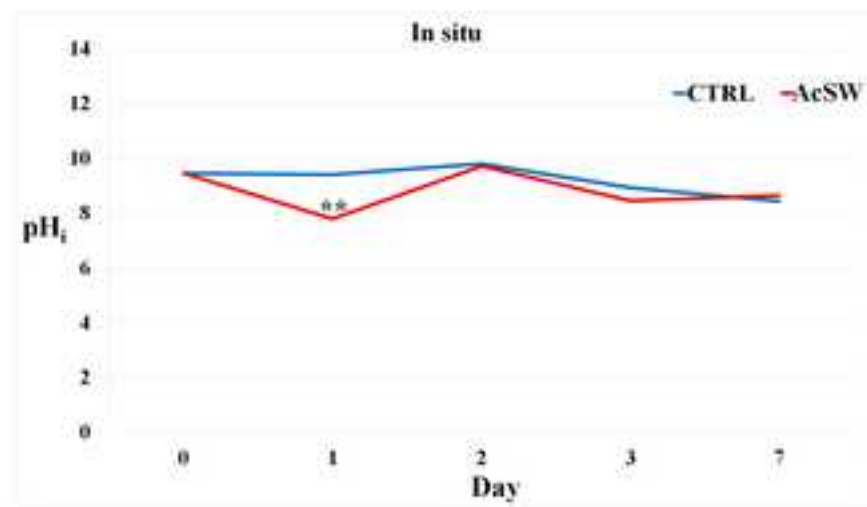
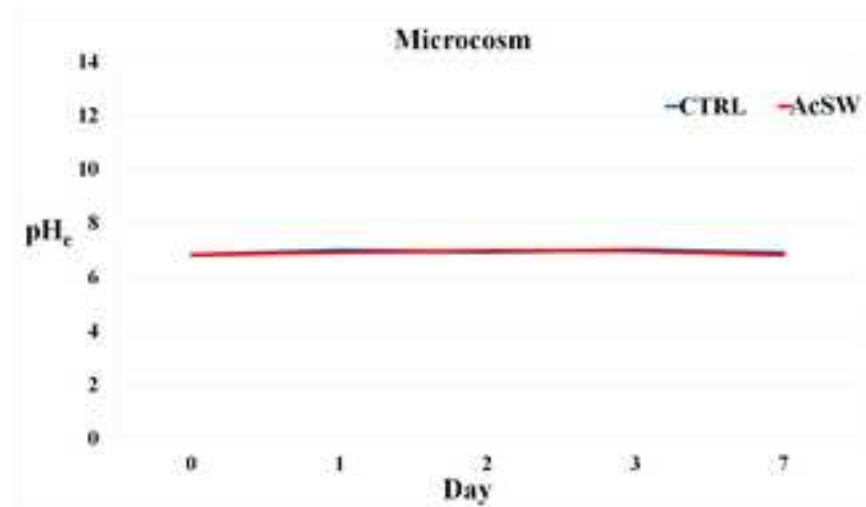
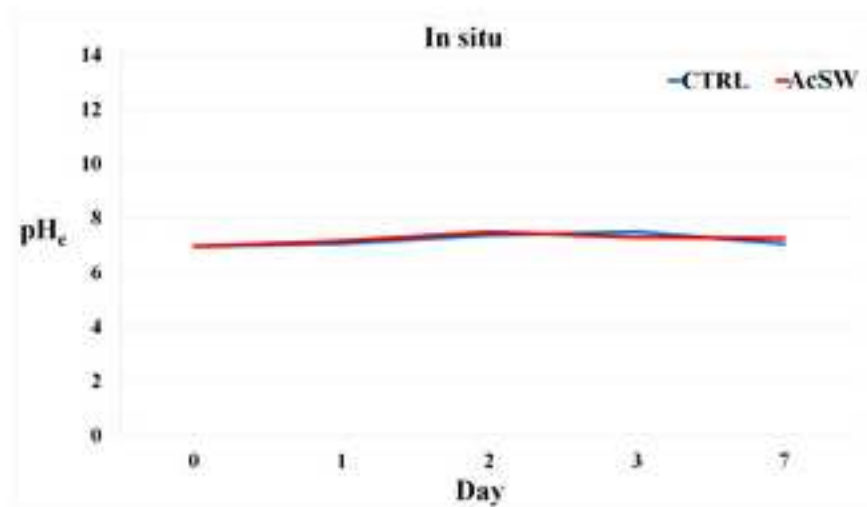






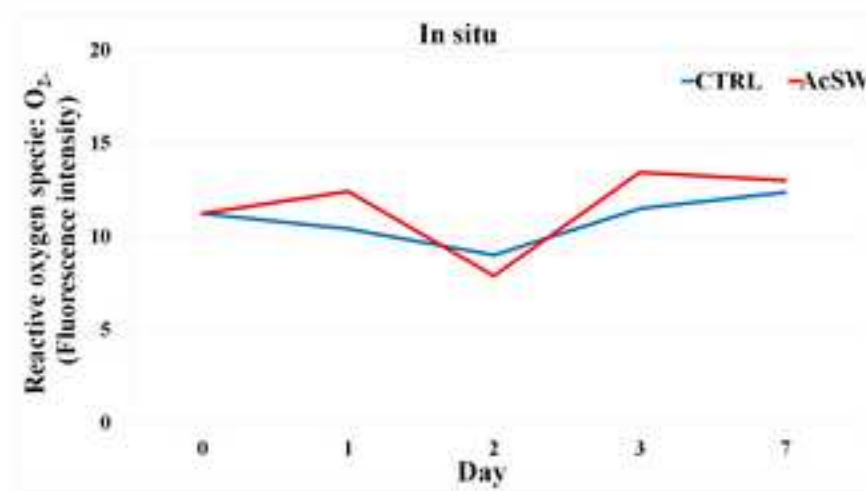
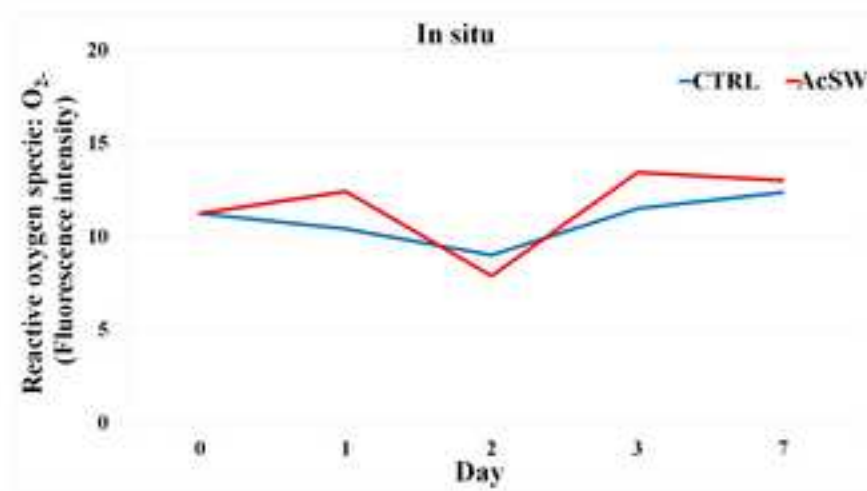
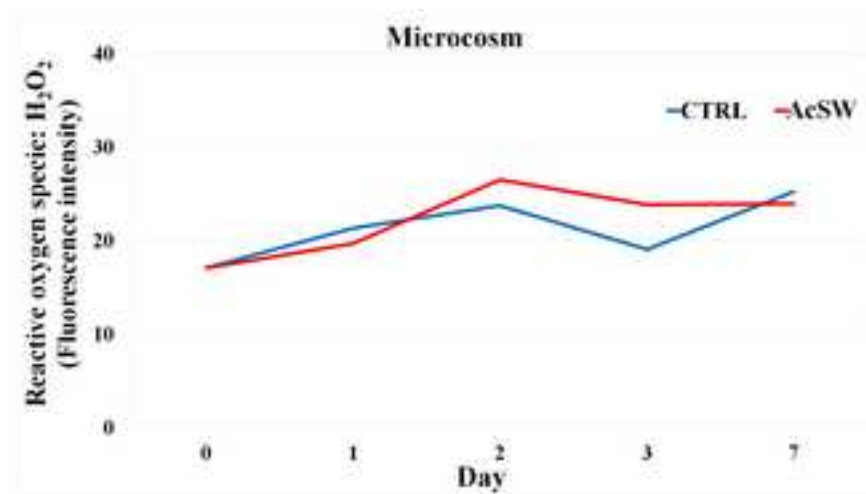
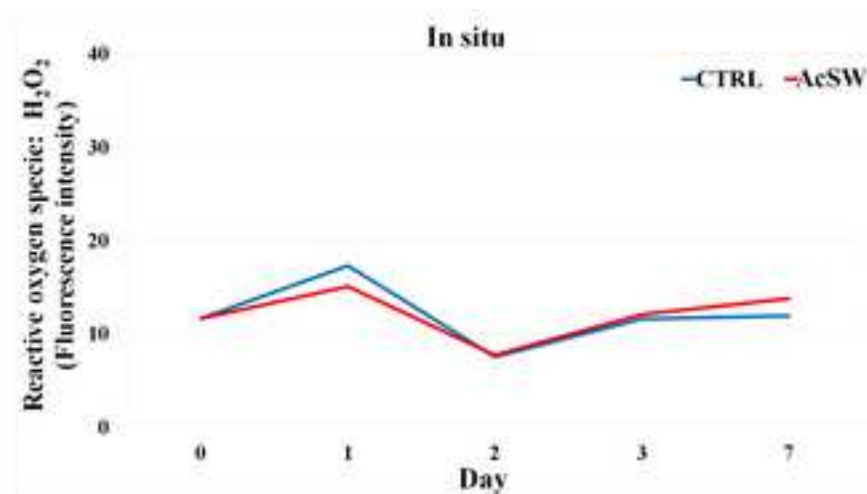


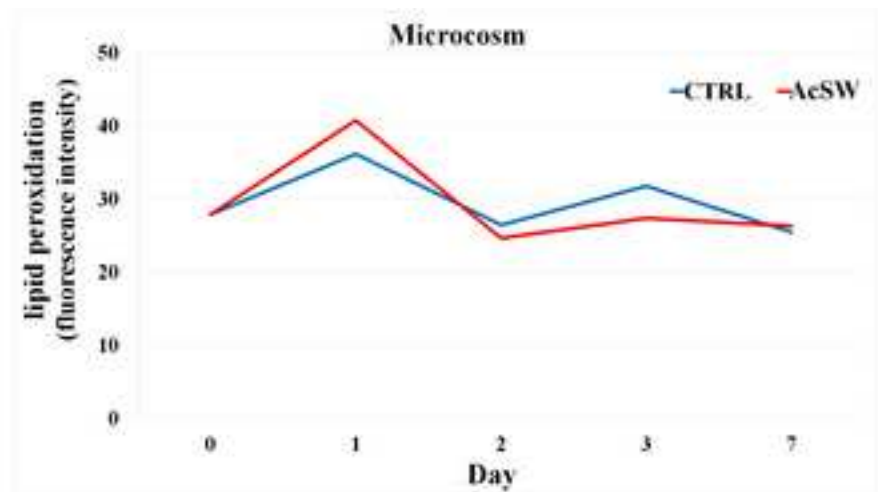
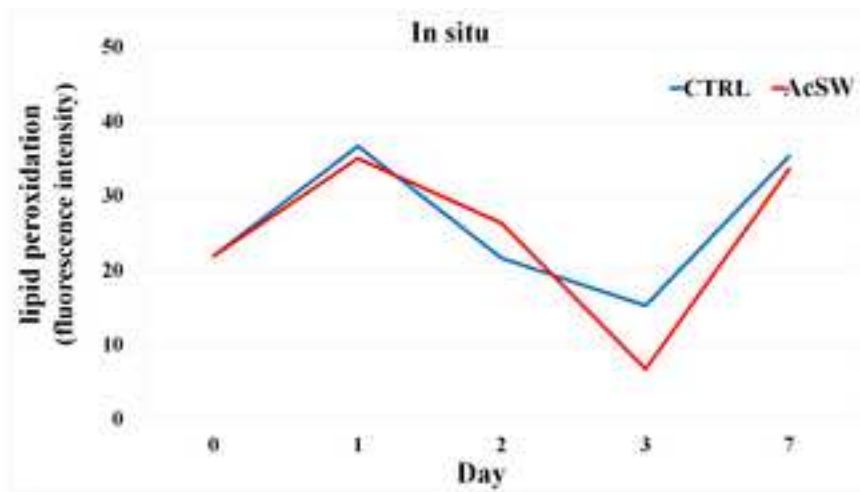




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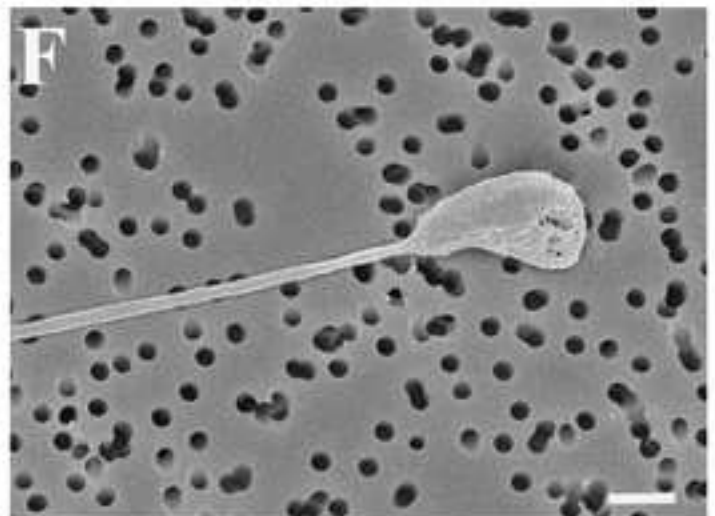
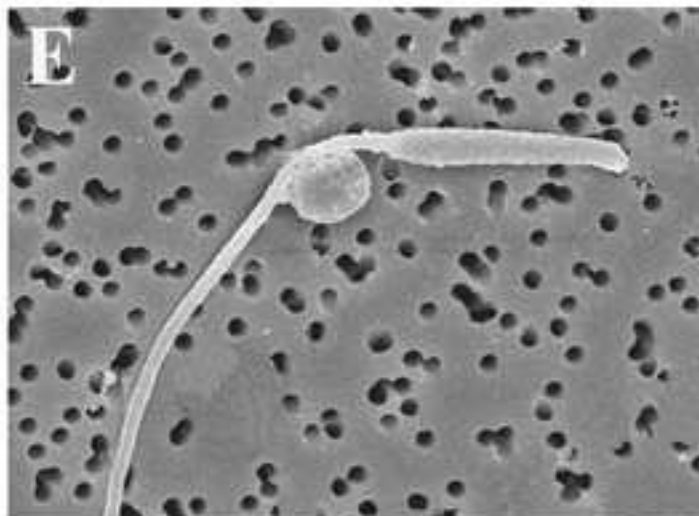
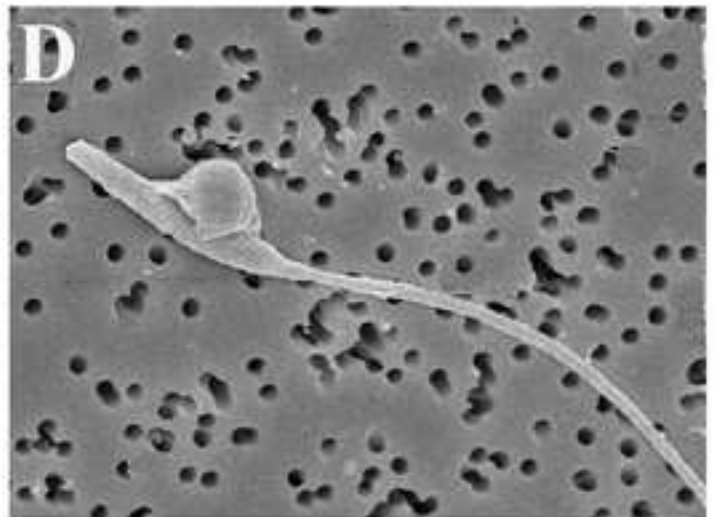
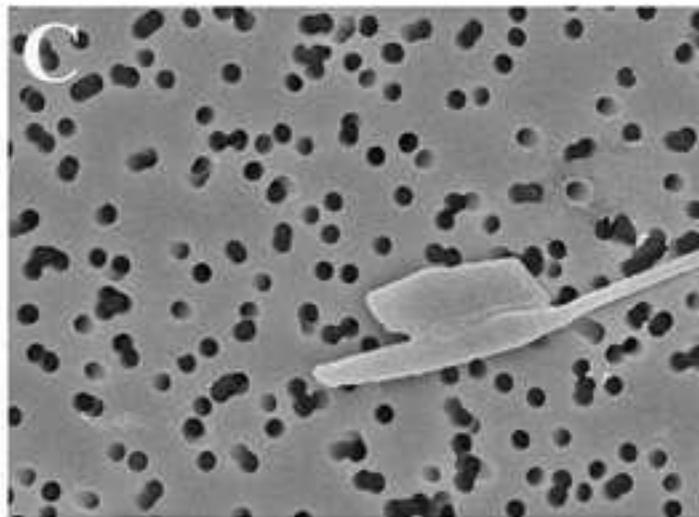
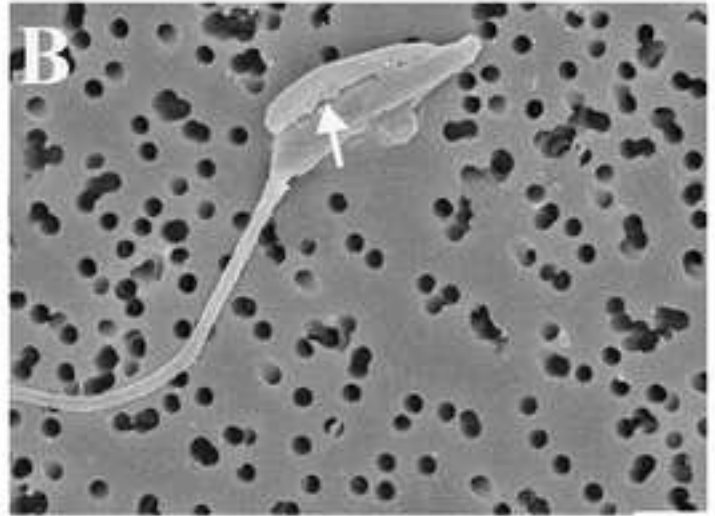
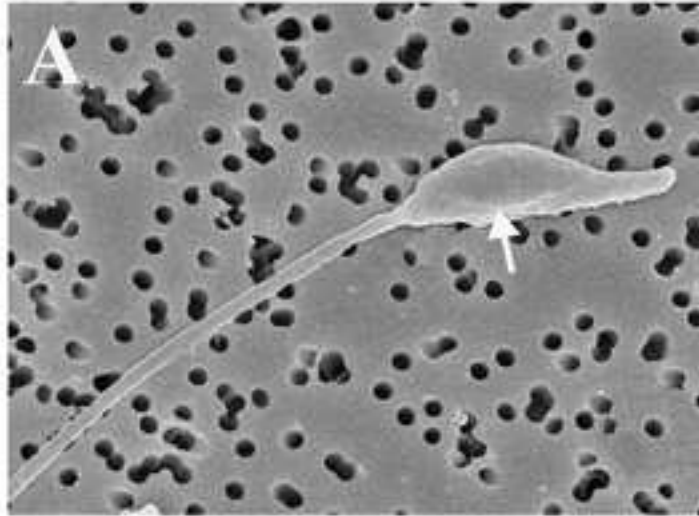
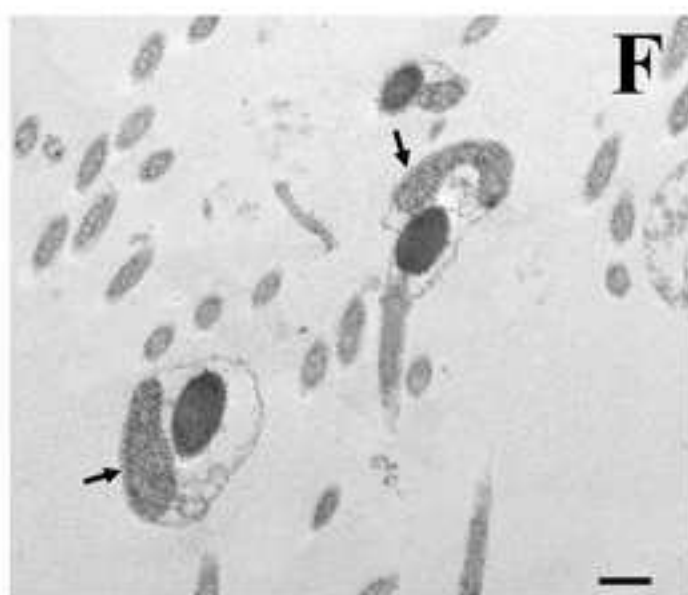
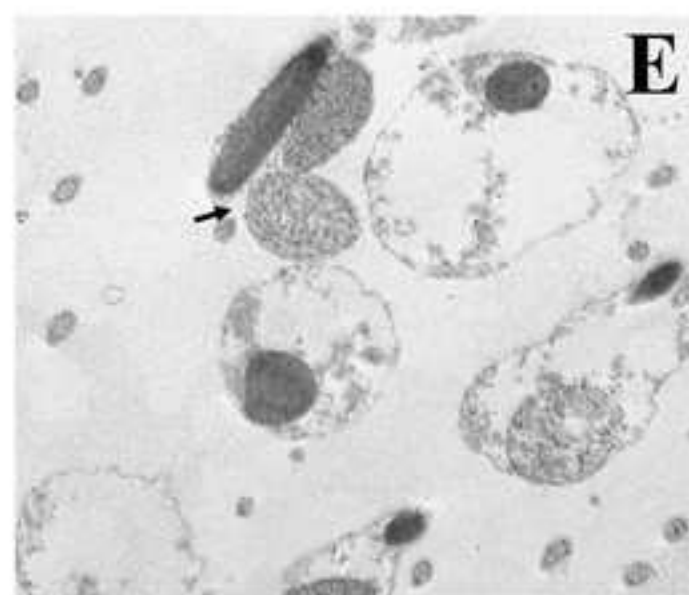
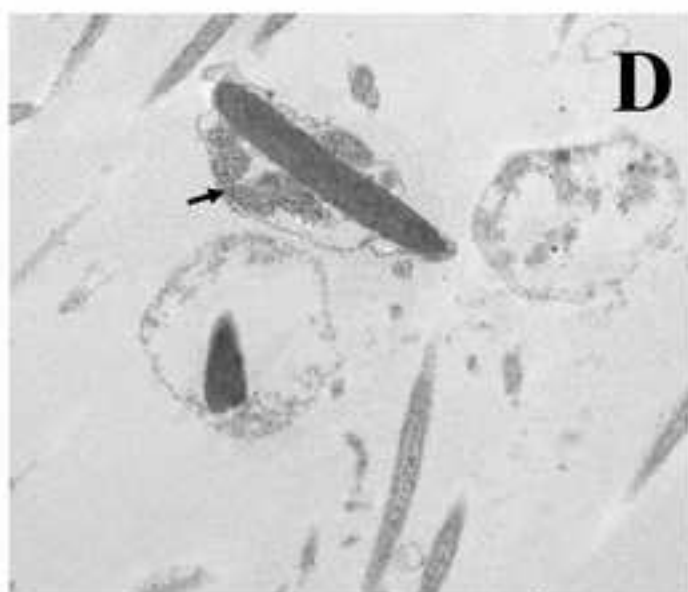
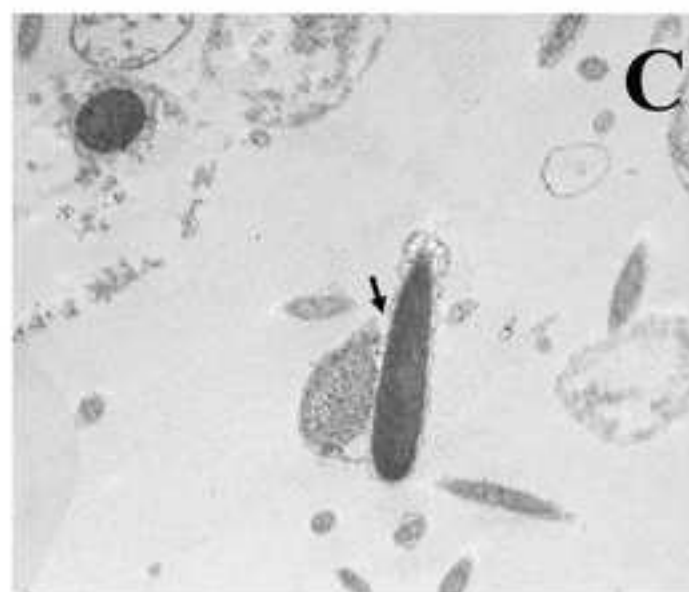
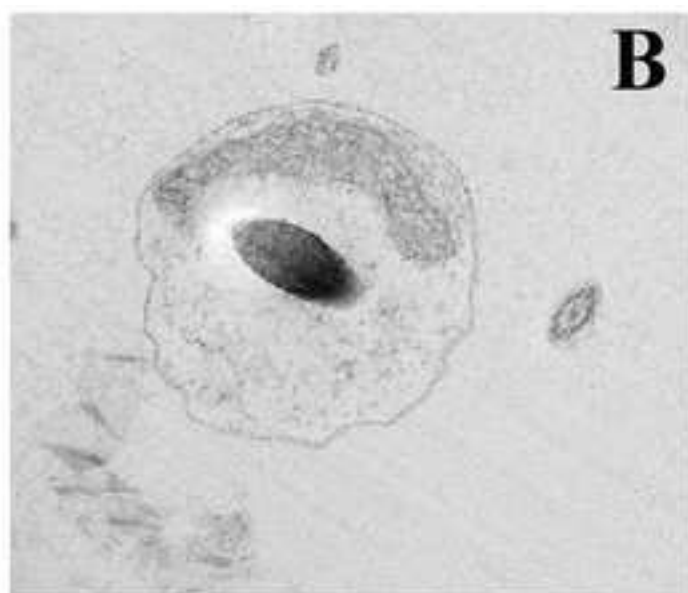
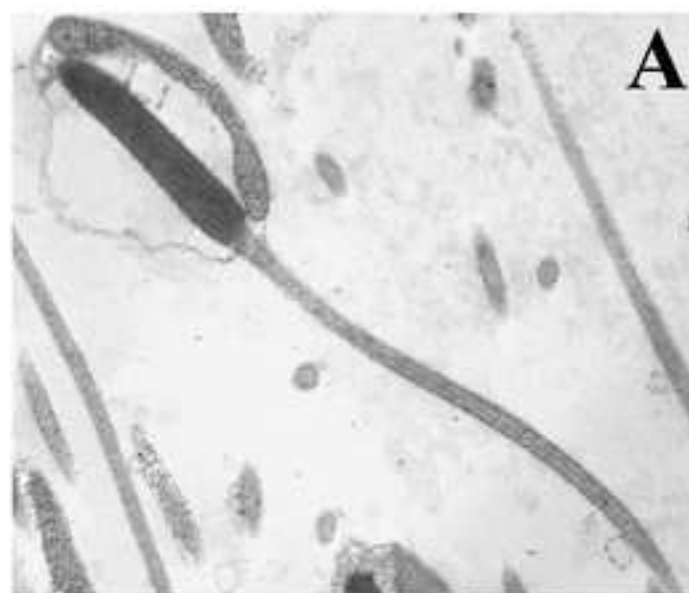


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Sperm sensitivity assessment in *Ciona robusta* after short-term acidified seawater exposure by setting up two experimental approaches: *in situ* transplant and microcosm. In the former, animals in cages were exposed to natural CO<sub>2</sub> vent emissions at Castello Aragonese (Island of Ischia, Gulf of Naples); in microcosm experiments seawater was acidified by means of a bubbling system supplying CO<sub>2</sub> gas. After parental exposure, spermatozoa were collected from sperm duct. Different sperm quality parameters, as concentration, motility, fertilizing ability, reactive oxygen species, mitochondrial activity, intracellular pH, viability, lipid peroxidation, morphology, were evaluated by means of counting chamber, *in vitro* fertilization, spectrofluorimetry and electron microscopy.